



INSTITUTIONAL REVIEW BOARD *at*
KETTERING
HEALTH NETWORK™

RESEARCH PROTOCOL

TO: Kettering Health Network Institutional Review Board

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STUDY TITLE: The Effect of Video Education on Skin-to-Skin at the Time of Delivery

VERSION # 1

1

2 Study Summary

3 The main purpose of this study is to determine the effect of prenatal video education on
4 pregnant women's intention to practice and actual practice of skin to skin contact (SSC)
5 after birth.

6 Purpose

7 This study hypothesizes that education in video format will increase patients knowledge
8 about skin to skin in a way that will make them consider participating in skin to skin
9 immediately after birth. Therefore our end point for this study is intention to participate in
10 SCC measured by a single questionnaire item "Do you plan on participating in skin to skin
11 (or kangaroo care) immediately after birth?" Our secondary end-point will measure whether
12 or not the patient was able to participate in skin to skin within five minutes of delivery.

13 Background and Significance

14 Despite strong support of breastfeeding by organizations such as the American Academy of
15 Pediatrics, the American Congress of Obstetricians and Gynecologists, and the American
16 Academy of Family Physicians, the rate of breastfeeding initiation at the time of delivery and
17 continuation at 6 months postpartum is below the Center of Disease Prevention's Healthy
18 People 2010 goals (1). UNICEF and the World Health Organization (WHO) have estimated
19 that if all babies were breastfed for a minimum of the first six months of their lives, the rate of
20 morbidity and malnutrition would significantly decrease all over the world (2). The benefits
21 of breastfeeding are numerous for both mothers and infants, with infants obtaining optimal
22 nutrition and passive immunity, and mothers obtaining a more rapid return of postpartum
23 uterine tone, postpartum weight loss, delay of ovulation, and decreased risk of breast,
24 ovarian, and endometrial cancers (3). As a result of this information, in 1991 WHO and
25 UNICEF launched the Baby-Friendly Hospital Initiative (BFHI) to encourage proper infant
26 feeding practices starting at birth. It is based on ten steps, which the hospital must meet
27 and maintain to obtain certification. In support of Step Four of the BFHI to "help mothers
28 initiate breastfeeding within one hour of birth," the skin-to-skin variation of Kangaroo Mother
29 Care was initiated (4).

30

31 Kangaroo position, or skin to skin contact (SSC) on a mother's chest, provides
32 thermoregulation, physiological stability, appropriate stimulation, and encourages bonding
33 and breastfeeding (5). In fact, early SSC was significantly associated with type of feeding at
34 discharge through 3 months postpartum (6). Regrettably, according to the American
35 Academy of Pediatrics, some obstacles to SSC and breastfeeding include insufficient
36 prenatal education, disruptive obstetrical practices, and a lack of family and societal support
37 (7). Delivery room and postpartum hospital routines may also significantly disrupt early
38 maternal-infant interactions (8). These barriers to breastfeeding and SSC are more
39 prevalent amongst vulnerable groups, which includes low income, low educational level, and
40 black populations. While effective initiatives such as BFHI are present in hospital settings,
41 guidelines for primary care based interventions originating in a clinician's office currently do
42 not exist (3). Through encouraging education regarding SSC in a before the time of
43 delivery, patients can actively participate in SSC during delivery and advocate for early SSC
44 (9).

45

46 Education can easily influence behavior habits and does not need to require special
 47 difficulties on behalf of providers (9). In fact, educational programs have the single greatest
 48 effect of any single intervention on both initiation and short term duration for breastfeeding
 49 (3). Women who attended breastfeeding classes with lactation consultants - with or without
 50 video supplementation - had significantly increased breastfeeding at six months when
 51 compared to controls (1). Currently, common office practices include provision of written
 52 materials and discharge packets. Neither practice has been shown to be effective in
 53 increasing rates of breastfeeding. On the contrary, discharge packets have been shown to
 54 reduce the rates of breastfeeding (3). Ideally, breastfeeding education would use both
 55 individual or group sessions where both benefits of breastfeeding and SSC would be
 56 discussed (10). However, in a busy clinic setting filled with a vulnerable patient population,
 57 having the ability to get to extra educational classes is not always an option.

58

59 Regardless of amount of prenatal education provided, Southview Medical Center will study
 60 participating patients at the time of admission for delivery who watch an 8 minutes
 61 second patient education DVD titled "Jumping into Kangaroo Care" by the Ohio Department
 62 of Health. Of importance, studies so far have shown no clear pattern for the outcome of
 63 breastfeeding in respect to intervention timing (7). In an analysis regarding video modeling,
 64 patients who viewed videotapes regarding treatment options had a greater understanding of
 65 the risks and benefits of those choices and were more apt to be active participants in
 66 decision making (11). Audio-visual material can also be entertaining and can be used by
 67 those who have limited literacy. Moreover, the information provided to patients on video has
 68 the advantage of being repeatable and consistent, which would allow us to provide the same
 69 information to all of our patients (12). By educating our patients in a video format at the
 70 beginning of their delivery admission, patients will have time to formulate questions and
 71 opinions to help them engage in an active dialogue with the staff that will be performing the
 72 delivery. The goal of educating mothers is not only to increase their knowledge and skills
 73 but also to influence their attitudes (13). By providing a video which models SSC to all non-
 74 emergent anticipated vaginal deliveries upon admission to the hospital, our hope is to
 75 encourage patients to actively participate in SSC at the time of delivery and become their
 76 own advocates.

77

78 The main purpose of this study is to determine the effect of prenatal video education on
 79 pregnant women's intention to practice SSC after birth. This study is critical for our hospital
 80 as quality measures for Southview Medical Center for quarter one of 2017 showed that we
 81 have only been 50% successful at initiating skin to skin in vaginal deliveries after 37 weeks
 82 gestation with a 5 minute APGAR of 7. Our goal as a hospital per BFHI is 82%. Our
 83 hypothesis is that 30% or more women who did not plan to use SCC would indicate their
 84 intention to use SSC post-intervention as compared to those who did not receive the
 85 intervention.

86 **Human Subject Population**

87 **Method of Subject Identification**

88 Patients will be recruited and consented to participate in the study at the time of admission
 89 to Labor and Delivery by a resident. In order to be asked to participate in the study, patients
 90 need to be admitted with anticipation of a normal spontaneous vaginal delivery within one

91 week at the time of admission, greater than 37 weeks gestational age, and over the age of
92 18.

93 **Use of PHI for Prescreening/ Recruitment (Activities Preparatory to Research)**

94 Check if existing records (e.g. medical records, patient logs) will be queried or
95 reviewed to identify or prescreen potential participants **and no** HIPAA waiver will be
96 requested. By checking, investigator attests to the following:

97 • PHI will be collected or obtained (reviewed) only for recruitment/ prescreening
98 purposes. PHI collected will be stored confidentially and will be destroyed per
99 KHN standards as soon as no longer necessary for the research.

100 • The requested use or disclosure under this section is **solely** to review patient
101 information / PHI as necessary for purposes preparatory to research (e.g. to
102 identify or prescreen potential participants for recruiting purposes).

103 • The PHI will not be removed from KHN in the course of the review.

104 • The PHI for which use or access is requested is necessary for the research.

105 Recruitment / prescreening activities will be stopped by specify date.

106 PHI from ≥ 50 < 50 patients will be accessed for prescreening / recruitment
107 activities. If PHI from < 50 patients will be accessed, specify who will track HIPAA
108 disclosures.

109 **Method of Recruitment**

110 Patients will be recruited and consented to participate in the study at the time of admission
111 to Labor and Delivery a resident.

112 **Data / Tissue Sources or Repositories**

113 Epic records will be accessed to obtain information pertinent to the study

114 **Subject Payments**

115 N/A

116 **Gender of Subjects** Females Only

117 **Number of Subjects**

118 Up to 240 subjects may participate at KHN.

119 **Age Range of Participants**

120 Over the age of 18

121 **Inclusion/Exclusion Criteria**

122 **Inclusion Criteria**

- 123 • Pregnant women at Southview Medical Center
124 • Over the age of 18

- 125 • Anticipating a vaginal delivery within one week of admission to labor and delivery
- 126 • English speaking
- 127 • Greater than 37 weeks gestation

128 **Exclusion Criteria**

- 129 • Not female
- 130 • Not pregnant
- 131 • Less than 37 weeks gestation
- 132 • A scheduled cesarean section
- 133 • Unstable medical status
- 134 • Expecting an unstable baby requiring medical resuscitation
- 135 • Under the age of 18
- 136 • Non-English speaking requiring translation services

137 **Vulnerable Subjects**

138 Pregnant women may be considered vulnerable subjects. Teach back method during the
139 consent process will be used to ensure understanding regarding participation. This study
140 poses no medical risks to pregnant women, fetuses, or neonates. Any pregnant woman
141 appearing to be in a cognitive or emotional state that would prevent informed consent would
142 be excluded.

143 **Research Design and Methods**

144 If participating in the study in the experimental group the patient would watch the video prior to
145 delivery.

146
147 A sample size of 240 (120+120) will participate in this randomized control trial. Alternate
148 patients will be randomized at the time of admission into no video (Group A) and video groups
149 (Group B). 120 patients will be in Group A. 120 patients will be in Group B.

150
151 Randomization will occur in the order that they get enrolled into the study. If an eligible patient
152 wishes to participate in the study, the resident obtaining consent will consult the list of patients
153 to determine if that particular patient will be in Group A or Group B. The first patient enrolled
154 would be in Group A and asked a pre-survey regarding:

- 155 • their intention to practice skin to skin at the time of delivery
- 156 • if they participated in skin to skin in a previous pregnancy
- 157 • if they had any formal education about skin to skin
- 158 • if they did have formal education was it either
 - 159 ○ a.) Provided at a prenatal appointment,
 - 160 ○ b.) A formal class led by either a nurse or a lactation consultant.

161 The second patient enrolled would be in Group B and take the same pre-survey, immediately
162 watch “Jumping into Kangaroo Care”, and then immediately take the post survey which would
163 ask if they intended to practice skin to skin at the time of delivery. The third patient enrolled
164 would take only the pre-survey and be in Group A, etc.

165
166 The video the patients will watch is titled “Jumping into Kangaroo Care” by the Ohio Department
167 of Health. The video is 8 minutes and 52 seconds in length. The video discusses the benefits
168 and logistics of Kangaroo Care from professional’s perspectives and from new mother’s
169 perspectives. By having patients watch this video before the time of delivery, we can see if
170 patients intend to participate in skin to skin, regardless of their previous education.

171
172 In this study, we will examine patient’s medical record number, age, gestational age, any
173 pregnancy complications, race, type of insurance, and the number of times the patient has been
174 pregnant. We will also examine data that is already collected by this hospital after delivery
175 regarding skin to skin. This includes gestational age in weeks at the time of delivery, 5 minute
176 APGAR, delivery date/time, skin to skin initiation time, skin to skin end time, delivery to skin to
177 skin duration (minutes), and skin to skin duration (minutes). We will also examine if skin to skin
178 is not initiated for patient acuity, maternal acuity, or if the patient refused.

179
180 If a patient has a baby with a 5 minute APGAR less than 7 at the time of delivery or needs to
181 proceed to the operating room for cesarean delivery, the patient would not be included in data
182 collection regarding skin to skin at the time of delivery. For this group, only intention would be
183 measured

184 Surveys / Interviews / Questionnaires

185 Ob/gyn residents at Southview Medical Center will ask the survey questions. The survey will be
186 asked in the patient’s labor room at the hospital once they are admitted. The survey will be
187 administered one-on-one. A copy of the survey has been attached. They will then watch
188 “Jumping into Kangaroo Care” by the Ohio Department of Health if they are in the experimental
189 group. Copyright has been attached.

190 Risks / Discomforts

191 Physical risks or discomforts

192 No known risks

194 Psychological risks or discomforts

195 No known risks

196 Social risks or harms

197 Breach of confidentiality

198 Economic risks

199 No known risks

200 Legal risks

201 No known risks

202 Procedures to Minimize Risks (describe for each risk listed above)

- 203 • Breaches of confidentiality: see *Data Storage and Confidentiality* section

204

205 Specify who will evaluate adverse events / serious adverse events (AEs / SAEs) to identify
 206 and report unanticipated problems: Catherine Caponero, DO

207 **Diagnostic/treatment (non-research) procedures to be used for the study**

208 N/A

209 **Benefits**

210 **Potential benefits to participants**

211 Participants may benefit by learning the benefits of skin to skin care after delivery.

212 **Potential benefits to others / contributions to knowledge**

213 Others can benefit by learning if video education video education can positively contribute to
 214 patients intent and compliance with skin to skin at the time of delivery

215 **Data Analysis**

216 Preliminary data on both primiparous and multiparous women from our records indicate that
 217 compliance with skin-to-skin is about 50%. We hypothesize that a 30% improvement in intention
 218 along with compliance with SCC is attainable with video education. We also have the ability to
 219 recruit 120 eligible women per group (240 in total) into the study. Using z test for two
 220 proportions, 90% power with alpha of 0.05, two-tailed, we will need about 53 patients per arm
 221 (106 in total) based on assumption that 50% of no formal education group and 80% of video
 222 education group would indicate their intention to participate, and hopefully participate in SCC
 223 post intervention.

224
 225 We will employ interim analysis, based on potentially recruiting 240 patients, and adopt “two
 226 looks”; one at the time when 120 (60 per group) women would be recruited and the other when
 227 240 (120 per group) women would be recruited. We will use cut-off points of $z=3.5$ for first look
 228 and $z=2.0$ for second look, as suggested by O’Brien-Fleming (1979), as decision rules to stop or
 229 continue data collection. If calculated z statistics is greater than 3.5 during first interim analysis,
 230 we will stop data collection. If calculated z-statistics is less than 3.5, we will continue data
 231 collection and reject the null during final analysis only when calculated z-statistics is greater
 232 than 2.0.

233
 234 Assuming 50% and 80% intention levels (30% difference in proportion) during first interim
 235 analysis, 50% interim sample size (120 patients) would result in absolute z- statistics of 4.89 to
 236 stop data collection.

237
 238 If data collection continues due to proportion difference less than 30%, sample size of 240
 239 during final analysis would produce absolute z-statistics of 2.20 to reject the null hypothesis
 240 based on assumption of 10% difference in end-point.

241
 242 Mean (Standard deviation) and sample size (percentage) will be used to summarize normally
 243 distributed continuous data and categorical data respectively. Either t-test of independence or
 244 Chi-square test of independence will be used to test if significant differences exist between two
 245 groups on quantitative data or on categorical data respectively, at alpha of 0.05, two tailed. Z-
 246 test of two proportions would be used to test if significant differences exist between two groups.

247 Logistic regression would be used in order to account for other variables if necessary. SPSS
248 version 22 will be used to analyze data. Dr. Heh, associated with Ohio University, will act as our
249 statistician and help us to analyze our data.

250 Data Monitoring to Ensure Safety of Subjects

251

252 Check if this study involves treatment or intervention and provide narrative of plan to
253 monitor data to ensure safety of subjects below:

254 The video intervention involves minimal risk.

255 Privacy Protections

256 We will collect coded data to ensure patient's privacy. We will also recruit and consent in
257 patient's private rooms on labor and delivery.

258 Data Storage and Confidentiality

259 Check all of the following which will be used to access (review), collect, receive, and/or
260 transmit study information:

- 261 **Email** **Camera/Camera Phone** **Portable media (flash drive, CD/DVD, hard drive, etc.)**
262 **Laptop** **Wireless Device** **Internet data access/data entry**
263 **Paper** **KHN Workstation** **Non-KHN computer**
264 **Other (specify):** [Click here to enter text.](#)

265 Describe where data/ records/ samples will be stored and how they will be kept secure:
266 Data will be stored in two binders on labor and delivery at the resident work station. Only
267 approved ob/gyn residents will have access to the study binders. One binder will have the
268 patient's name and enumber along with a number assigned to each patient. The other
269 binder will have the surveys with each survey having the number assigned to each patient.

270
271 Any email communication with PHI will be KHN only. If outside KHN email is needed it will
272 be encrypted. Internet data access will be through Epic. All electronic records will be stored
273 on the resident KHN workstation on L&D that is password protected and only accessible by
274 the PI.

275 Specify who will have access to study information/ to whom it will be released (if applicable):
276 Ob/gyn residents approved to participate in this study will have access to the study
277 information as they will help with the data collection

278 Describe plans for storage, destruction, and/or return of study data and records after closure:
279 Study records will be stored with Dr. Moussa and locked in her office at Kettering Hospital.
280 Paper records will be destroyed six years after closure of the study due to HIPAA
281 documentation being required. Paper records will be placed in confidential shredder bin for
282 destruction. Electronic records will be stored on Dr. Moussa's KHN workstation and
283 permanently deleted after six years.

284 Check if data or specimens will be saved or stored for future use and provide details:
285 [Click here to enter text.](#)

286 Estimated Period of Time to Complete the Study (after IRB Approval)

287 **Recruitment/data collection/active participation:** June 2017-December 2017

288 **Follow up:** N/A

289 **Data analysis/write-up:** January 2018

290 Consenting Process and Location(s)

291 **Initial consenting process**

292 The principal investigator and fellow ob/gyn residents will be involved in consenting of the
293 subjects. The consent will take place in private labor rooms on labor and delivery. The
294 consenting process is expected to take five to ten minutes.

295

296 **Consenting process after first visit**

297 N/A

298 **Documentation of consent (check all that apply):**

299 **Subjects' written, signed consent will be obtained.**

300 **Waiver of consent is requested (attach Consent Form – Application for Waiver*)**
301 *(Information is collected without subject knowledge: e.g. chart reviews)*

302 **Waiver of a signed consent is requested (attach Consent Form – Application for Waiver*)**
303 *(Individuals participate directly but do not sign a consent form: e.g. anonymous surveys)*

304 **Alteration of consent is requested (attach Consent Form – Application for Waiver*)**
305 *(Consent to be signed is not accurate or complete: e.g. study involving deception)*
306 **for non-exempt submissions*

307 Grant Application

308 N/A

309 Study Budget: Funding, Resources, and Expenses

310 **Resources needed**

- 311
 - Paper, binders, and video

312 **Expenses to be incurred**

- 313
 - Institutional Review Board administrative and review expenses

314

315 **Funding and sources of funds**

316 N/A

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318

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