

**STATISTICAL ANALYSIS PLAN  
STUDY P15-699**

*Version 1.0*

*18 July 2017*

**General Information**

<b>Protocol</b>	Real World Evidence of the Effectiveness of Paritaprevir/r – Ombitasvir, ± Dasabuvir, ± Ribavirin in Patients with Chronic Hepatitis C - An Observational Study in Kuwait P15-699
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## GLOSSARY OF ABBREVIATIONS

AE	adverse event
APRI	AST to platelet ratio index
AFP	alfa fetoprotein
ALT	alanine-aminotransferase
AST	aspartate-aminotransferase
BMI	body mass index
BMQ	beliefs medication questionnaire
CA	competent authority
CD4	cluster of differentiation 4
CHC	chronic hepatitis C
CI	confidence interval
CNI	calcineurin
CP	core population
CPFSU	core population with sufficient follow-up data
CT	computer tomography
DAA	direct-acting antiviral agent
DDI	drug-drug interaction
EC	ethics committee
EDC	electronic data capture
eCRF	electronic case report form
EMA	European Medicines Agency
EoT	end of treatment
FDA	Food and Drug Administration
FIB-4	Fibrosis-4 Score/Index
$\gamma$ -GT	gamma-glutamyltransferase
HCC	hepatocellular carcinoma
HCP	health care provider
Hb	hemoglobin
HbA1c	hemoglobin A1c
HBV	hepatitis B virus
HCV	hepatitis C virus
HDL	high-density lipoprotein
HIV	human immunodeficiency virus

## GLOSSARY OF ABBREVIATIONS

HVPG	hepatic venous pressure gradient
ICMJE	International Committee of Medical Journal Editors
IEC/IRB	independent ethics committee/- review board
IgA	Immunoglobulin A
IgG	Immunoglobulin G
IgM	Immunoglobulin M
INN	international non-proprietary name
INR	international normalized ratio
LDL	low-density lipoprotein
LLoD	lower limit of detection
LLoQ	lower limit of quantification
MAH	Marketing Authorization Holder
MedDRA	Medical Dictionary for Regulatory Activities
MRI	magnetic resonance imaging
NCP	non-core population
NS3/NS4A	nonstructural protein 3/nonstructural protein 4A
NS5A	nonstructural protein 5A
NS5B	nonstructural protein 5B
OATP	organic anion-transporting polypeptide
OLT	orthotopic liver transplant
PAM-13	Patient Activation Measure 13
paritaprevir/r	paritaprevir/ritonavir
PCR	polymerase chain reaction
pegIFN	pegylated interferon
PRO	patient reported outcome
PSP	patient support program
PT	preferred term
RBV	ribavirin
RF	rheumatoid factor
RNA	ribonucleic acid
SAE	serious adverse event
SAP	statistical analysis plan
SD	standard deviation

## GLOSSARY OF ABBREVIATIONS

SDP	study designated physician
SNP	single nucleotide polymorphism
SOC	system organ class
SP	safety population
SVR	sustained virological response
SVR12	SVR at 12 weeks after EoT
SVR24	SVR at 24 weeks after EoT
TP	target population
VAS	visual analogue scale
WHO	World Health Organization

## 1. INTRODUCTION

The interferon-free combination regimen of paritaprevir/r and ombitasvir with or without dasabuvir (ABBVIE REGIMEN) ± ribavirin (RBV) for the treatment of chronic hepatitis C (CHC) has been shown to be safe and effective in randomized controlled clinical trials with strict inclusion and exclusion criteria under well controlled conditions.

The rationale for this observational study is to determine how the efficacy and safety of the ABBVIE REGIMEN as demonstrated in pivotal trials translates into real world everyday clinical settings, which means evaluating its effectiveness. Whereas efficacy can be defined as a measure of the capacity of a treatment to produce the desired effect in a controlled environment, such as in a randomized controlled trial, effectiveness is the extent to which a drug achieves its intended effect in the usual clinical setting. Effectiveness trials typically have limited exclusion criteria and will involve the broader patient populations in routine clinical practice, treated per local label, which might include patients with heterogeneous compliance patterns and patients with significant comorbid conditions and could be used to model and disseminate best practices. Effectiveness research allows for external patient-, provider-, and system-level factors and can therefore be more relevant for health-care decisions by both providers in practice and policy-makers.

This observational study is the first effectiveness research examining the ABBVIE REGIMEN ± RBV, used according to local label, under real world conditions in Kuwait in a clinical practice patient population.

During the last decade, when dual therapy with pegylated interferon (pegIFN) plus RBV was standard of care for the treatment of CHC, the discovery of predictive factors for virological response and the subsequent development of treatment algorithms marked a milestone in patient care for CHC. As a consequence, treatment could be effectively targeted to patients most likely to respond. Interestingly, many of the now well established predictors of response to pegIFN/RBV and first generation direct acting antivirals (DAAs) in combination with pegIFN/RBV were not predictive of outcome in the development trials of the ABBVIE REGIMEN ± RBV. This observational study may play an important part in bridging the data gaps. It may help identify predictive factors of response that are important in real world treatment settings and thus, could assist in further optimizing treatment with the interferon-free ABBVIE REGIMEN ± RBV in the future.

The label of the ABBVIE REGIMEN ± RBV will vary according to hepatitis C virus (HCV) genotype/subtype and stage of liver disease. It is therefore relevant to understand the pattern of use and outcome in daily clinical practice. In addition, this study will provide data on the impact of adherence on treatment outcomes in everyday settings, which may help treating physicians to improve the management of patients under their care.

The main aim of this observational study is to provide evidence of the effectiveness of the ABBVIE REGIMEN ± RBV in a real world setting across clinical practice patient populations.

## 2. STUDY OBJECTIVES

The objectives of this study are:

**Primary objective:**

1. To describe in routine clinical practice the effectiveness of the interferon-free ABBVIE REGIMEN ± RBV in patients with CHC as evidenced by sustained virological response at 12 weeks after end of treatment (SVR12)

**Secondary objectives:**

2. To provide real world evidence for predictive factors of virological response
3. To evaluate the influence of adherence on treatment outcome in routine clinical practice
4. To evaluate the contribution of the patient support program (PSP) to disease control, treatment continuation over time, patient satisfaction and PSP utilization

## 3. STUDY DESIGN

### 3.1 Overview of Study Design and Dosing Regimen

This is a prospective, multi-center observational study in adult patients chronically infected with HCV receiving the interferon-free ABBVIE REGIMEN ± RBV.

University centers and outpatient clinics qualified by training and experience in the management of patients with CHC participate in this study.

The prescription of a treatment regimen is at the discretion of the physician in accordance with local clinical practice and label, is made independently from this observational study and precedes the decision to offer the patient the opportunity to participate in this study.

After written patient authorization has been obtained, patient data including demographic data, HCV disease characteristics, co-morbidities, co-medication, treatment details, and laboratory assessments as recorded in the patient's medical records (source documentation) are documented in the electronic case report form (eCRF). Patients are observed for the duration of the ABBVIE REGIMEN therapy and for up to 24 weeks after treatment completion.

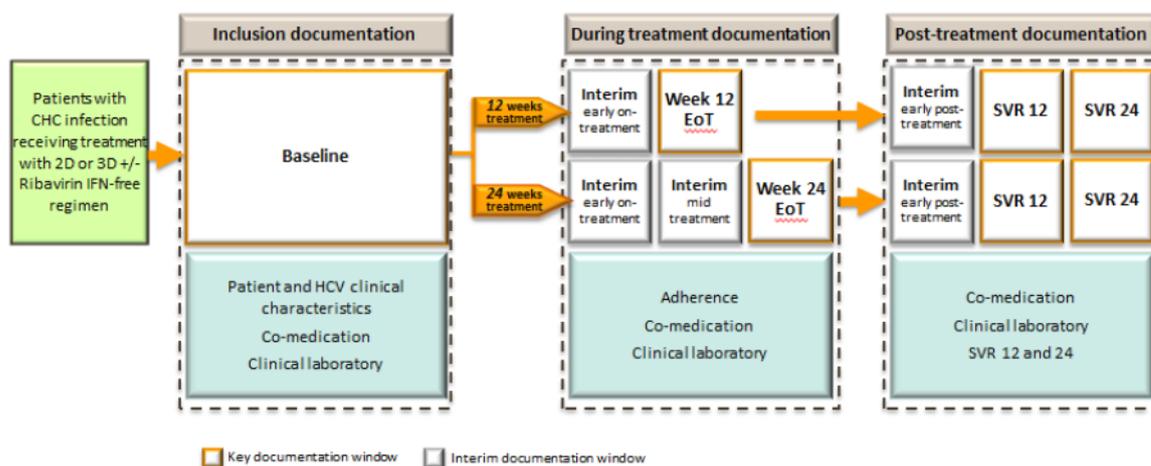
The observational period for patients receiving 12 weeks of ABBVIE REGIMEN is max. 36 weeks (12 weeks treatment and 24 weeks post-treatment observation) and for patients receiving 24 weeks of ABBVIE REGIMEN the observational period is max. 48 weeks (24 weeks treatment and 24 weeks post-treatment observation).

Follow-up visits, treatment, procedures and diagnostic methods follow physicians' routine clinical practice. The observational study period entails the following data collection schemes, data documented are those closest to the time windows as indicated in Figure 1:

- 12-week treatment regimen: four visits plus two interim data collection windows
- 24-week treatment regimen: four visits plus three interim data collection windows

This schedule is based on the anticipated regular follow-up for patients undergoing treatment for CHC.

**Figure 1 - Study Flowchart**



## 3.2 Sample Size Calculation

The sample size of the study is not based on statistical consideration. It is expected, that 40 patients can be enrolled within the planned inclusion phase of 9 months.

## 4. STATISTICAL CONSIDERATIONS AND ANALYTICAL PLAN

### 4.1 Primary and Secondary Parameters

#### 4.1.1 Primary Effectiveness Parameter

The percentage of patients achieving SVR12 (HCV RNA <50 IU/mL 12 weeks [i.e. 70 to 126 days] after the last actual dose of the ABBVIE REGIMEN).

Please note, in the study protocol no upper boundary for the time window was specified. The upper boundary of 126 days was introduced to achieve consistency with other studies investigating the ABBVIE REGIMEN ± ribavirin (RBV) for the treatment of CHC. For the handling of missing values see section 4.2.3.

#### 4.1.2 Secondary Parameters

The secondary effectiveness endpoints are:

- The percentage of patients with virological response (HCV RNA <50 IU/mL) at EoT (defined as last intake of ABBVIE REGIMEN or ribavirin)
- The percentage of patients with relapse (defined as HCV RNA <50 IU/mL at EoT followed by HCV RNA ≥50 IU/mL)

- The percentage of patients with breakthrough (defined as at least one documented HCV RNA <50 IU/mL followed by HCV RNA ≥50 IU/mL during treatment).
- Rapid virological response at week 4 (RVR 4, i.e. patients with HCV RNA < 50 IU/mL at week 4)
- Sustained virological response 24 weeks after EoT (SVR24, i.e. patients with HCV RNA < 50 IU/mL 24 weeks after EoT)
- The number and percentage of patients meeting each and any of the following SVR12 non-response categories:
  - On-treatment virologic failure (breakthrough [defined as above] or failure to suppress [each measured on-treatment HCV RNA value ≥50 IU/mL])
  - Relapse (defined as HCV RNA <50 IU/mL at EoT followed by HCV RNA ≥50 IU/mL post-treatment for subjects who complete treatment [not more than 7 days shortened])
  - Premature study drug discontinuation with no on-treatment virologic failure
  - Missing SVR12 data and/or none of the above criteria

Further secondary variables are:

- Type of treatment regimen (± Dasabuvir, ± RBV, intended and actual combination, dose and duration)
- Adherence
  - Percentage of the DAA dose taken in relation to the target dose of DAA (cumulative dose taken divided by target dose in percent)
  - Percentage of the RBV dose taken in relation to the target dose of RBV (cumulative dose taken divided by target dose in percent)
  - Percentage of missed RBV treatment days in relation to the target number of RBV treatment days
- Co-morbidities and concomitant medication
- Serious and non-serious adverse events and pregnancy occurrences
- PAM-13, PSP satisfaction and utilization questionnaires

No data will be imputed for any effectiveness or safety analyses except for the analyses of the HCV RNA endpoints and PRO questionnaires (if applicable). For further details see sections 4.2.3 and 4.2.7.2.2.

## 4.2 Statistical and Analytical Methods

### 4.2.1 Analysis Populations and Analysis Groups

#### Population of Patients Enrolled [EP]

The population of patients enrolled comprises all patients who voluntarily sign and date a patient authorization to use and/or disclose his/her anonymized health data prior to inclusion into the study and data were captured in the eCRF.

**Target Population [TP]**

Patients will be included if the following applies:

- Age at least 18 years
- Confirmed CHC with genotype 1 and/or 4 only, receiving combination therapy with the interferon-free ABBVIE REGIMEN ± RBV. The prescribed ABBVIE REGIMEN needs to be known.
- Have voluntarily signed and dated a patient authorization to use and/or disclose his/her anonymized health data prior to inclusion into the study
- Must not be participating or intending to participate in a concurrent interventional therapeutic trial

**Core Population [CP]**

The core population is defined as all patients of the target population (TP) (definition see above), who are adequately treated according to the standard of care and within local label recommendations for their specific disease characteristics (cirrhotic status, genotype). The following patients will be excluded from the CP:

- Patients with unknown fibrosis status
- Cirrhotic patients with genotype 1a not receiving ribavirin
- Patients with genotype 1 for whom 2DAA instead of 3DAA is prescribed
- Patients with genotype 4 not receiving RBV

**Non-Core Population [NCP]**

Patients in the TP who are not in the CP.

**Core Population with Sufficient Follow-up [CPSFU {12, 24}]**

In addition, the core population with sufficient follow-up data regarding SVR12 or SVR24, respectively, is defined as all CP patients,

- who have evaluable HCV RNA data ≥70 days or >126 days, respectively, after the last actual dose of the ABBVIE REGIMEN
- or a HCV RNA value ≥50 IU/mL at the last measurement post-baseline
- or had HCV RNA <50 IU/mL at the last measurement post-baseline, but no HCV RNA measurement ≥70 days or >126 days, respectively, after the last actual dose of the ABBVIE REGIMEN due to reasons related to safety (e.g. dropped out due to adverse event) or virologic failure (e.g. virologic failure such as relapse is reported in the electronic case report form (eCRF) but date and value of the corresponding HCV RNA test is missing).

This means only (1) patients who had virological response at their last on-treatment or post-treatment measurement, but had no post-treatment HCV RNA measurements  $\geq 70$  days or  $> 126$  days, respectively, for reasons not related to safety or effectiveness (e.g. lost-to-follow-up or patient not willing to perform an additional HCV RNA test  $\geq 70$  days or  $> 126$  days post-treatment, respectively) and (2) patients who had no post-baseline HCV RNA measurements for reasons not related to safety or effectiveness, and (3) patients with missing end of treatment date for reasons not related to safety or effectiveness, will be excluded from this analysis.

**Safety population [SP]**

The safety population is defined as all enrolled patients who received at least one dose of the ABBVIE REGIMEN. The prescribed ABBVIE REGIMEN needs to be known.

**EP and TP analysis groups**

The EP/TP analysis groups are defined according to the patient's fibrosis status and genotype/subtype and standard summaries are structured as follows:

- Total (regardless of cirrhosis status)
  - (1) Total (regardless of genotype)
  - (2) G1 (Total)
  - (3) G1a (including mixed G1 subtypes and patients with G1 unknown subtype)
  - (4) G1b
  - (5) G4 (non-G1)
  - (6) Other/unknown genotype (*only for EP*)
- Patients with cirrhosis
  - (7) Total (regardless of genotype)
  - (8) G1 (Total)
  - (9) G1a (including mixed G1 subtypes and patients with unknown G1 subtype)
  - (10) G1b
  - (11) G4 (non-G1)
  - (12) Other/unknown genotype (*only for EP*)
- Patients without cirrhosis
  - (13) Total (regardless of genotype)
  - (14) G1 (Total)
  - (15) G1a (including mixed G1 subtypes and patients with unknown G1 subtype)
  - (16) G1b
  - (17) G4 (non-G1)
  - (18) Other/unknown genotype (*only for EP*)
- Patients with unknown fibrosis status
  - (19) Total (regardless of genotype)
  - (20) G1 (Total)
  - (21) G1a (including mixed subtypes and patients with unknown subtype)
  - (22) G1b
  - (23) G4 (non-G1)
  - (24) Other/unknown genotype (*only for EP*)

(HCV genotypes will be combined as follows: G1 [total], G1a\*, G1b, G4 [non-G1]. \* includes mixed or other G1 subtypes and patients with unknown G1 subtypes.

Most recent stage of liver fibrosis will be categorized as follows: No cirrhosis/Transition to cirrhosis, Cirrhosis. Only one method should be selected by the physicians to report stage of fibrosis. Nevertheless, if there are multiple answers the following priority will be used:

1. Biopsy,
2. Non-invasive,
3. Clinical/best guess.

For biopsy as assessment method the respective categories are defined as follows:

- “No cirrhosis” is defined by “No fibrosis”, “Mild/minimal fibrosis”, “Moderate fibrosis”
- “Transition to cirrhosis” is defined by “Advanced Fibrosis”
- “Cirrhosis” is defined by “Cirrhosis”.)

### **CP analysis groups and related treatment regimens**

The CP analysis groups are defined according to the patient’s fibrosis status and genotype/subtype. The standard summaries by CP analysis groups in the CP and CPSFU population are structured follows (is not specified otherwise):

- Total (regardless of cirrhosis status)
  - (1) Total (regardless of genotype)
  - (2) G1 (Total)
  - (3) G1a (including mixed subtypes and patients with unknown subtype)
  - (4) G1b
  - (5) G4 (non-G1)
- Patients with cirrhosis
  - (6) Total (regardless of genotype)
  - (7) G1 (Total)
  - (8) G1a (including mixed subtypes and patients with unknown subtype)
  - (9) G1b
  - (10) G4 (non-G1)
- Patients without cirrhosis
  - (11) Total (regardless of genotype)
  - (12) G1 (Total)
  - (13) G1a (including mixed subtypes and patients with unknown subtype)
  - (14) G1b
  - (15) G4 (non-G1)



**SP analysis groups**

The SP analysis groups are defined according to the treatment regimen and patient's fibrosis status. The standard summaries by SP groups in the safety population are as follows (if not specified otherwise):

- Total (regardless of cirrhosis status)
  - (1) Total
  - (2) 2DAA w/o RBV
  - (3) 2DAA + RBV
  - (4) 3DAA w/o RBV
  - (5) 3DAA + RBV
- Patients with cirrhosis
  - (6) Total
  - (7) 2DAA w/o RBV
  - (8) 2DAA + RBV
  - (9) 3DAA w/o RBV
  - (10) 3DAA + RBV
- Patients without cirrhosis
  - (11) Total
  - (12) 2DAA w/o RBV
  - (13) 2DAA + RBV
  - (14) 3DAA w/o RBV
  - (15) 3DAA + RBV
- Patients with unknown fibrosis status
  - (16) Total
  - (17) 2DAA w/o RBV
  - (18) 2DAA + RBV
  - (19) 3DAA w/o RBV
  - (20) 3DAA + RBV

(2DAA - paritaprevir/r-ombitasvir, 3 DAA - paritaprevir/r-ombitasvir + dasabuvir, RBV – ribavirin taken, w/o RBV – no ribavirin taken)

#### **NCP analysis groups**

The summary tables for the NCP will only show the data pooled for all patients in the NCP without any subgrouping by genotype and/or fibrosis status.

#### **4.2.2 Definition of Baseline and Visit Time Windows**

This observational study covers three documentation periods, see Figure 1. An overview of data to be collected throughout the study is summarized in Table 1.

Table 1 - Data Documentation Schedule

Assessment/ Procedure (only available data to be collected; no diagnostic or monitoring procedures to be applied to the patients apart from those of routine clinical practice)	Baseline	Treatment Period			Post-treatment (PT) Period		
		On-treatment visits	Mid treatment visit for pts receiving 24 weeks of therapy	EoT <sup>b</sup>	Early PT visit	SVR 12 visit	SVR 24 visit
Patient authorization	X <sup>a</sup>						
Demographic information	X						
IL28B	X						
Relevant CHC disease characteristics	X						
Liver fibrosis stage	X						
CHC treatment history	X						
HCV genotype and subtype	X						
HCV RNA samples	X	X	X	X	X	X	X
Clinical chemistry and hematology	X	X	X	X	X	X	X
ABBVIE REGIMEN initiation documentation	X						
ABBVIE REGIMEN adherence		X	X	X			
Concomitant medication	X	X	X	X			
Relevant medical history, co-morbidities	X						
sAE, AE and pregnancy reporting	X	X	X	X	X <sup>c</sup>	X <sup>d</sup>	X <sup>d</sup>
For patients receiving RBV: Evidence, in accordance with local label, that female patient or female partner of male patient is not pregnant	X						
Patient questionnaires – PAM-13	X			X			
PSP evaluation		X	X	X	X	X	

Abbreviations: EoT = End of Treatment (at Week 12 or 24 or at premature discontinuation),  
PT = Post-Treatment

- a Written patient authorization must be obtained before any data documentation in the eCRF
- b Patients who prematurely discontinue should return to the site to document EoT data
- c Tolerability documentation until PT week 4
- d Pregnancy reporting for patients treated with DAA plus RBV

In accordance with the non-interventional nature of the study all HCV RNA measurements will be performed at the sole discretion of the physician and all HCV RNA measurements have to be entered into the eCRF. All recorded HCV RNA values will be assigned to appropriate time points (baseline, on-treatment visits, EoT visit, post-treatment visits) as follows:

**Table 2 - Analysis Time Windows for HCV RNA**

Phase	Time point	Time Window
Pre-Treatment	Baseline	Last value prior to start of study treatment (i.e. $\leq$ study day 1)
On-treatment		Study day during treatment period <sup>^</sup> # (Study day 1 = first treatment day)
Treatment Week	4 (RVR*)	15 - 42 <sup>^</sup>
EoT	Actual EoT	Study day of last dose# (28 days prior to last dose - 7 days post last dose)
Post Treatment	12 weeks (SVR 12 window)	$\geq$ 70-126 days post last dose
	24 weeks (SVR24 window)	127-210 days post last dose

<sup>^</sup>values must also be  $\leq$  7 days post last dose

#  $\geq$  study day 2

\*RVR = rapid virological response

All reported safety laboratory test results will be assigned to one of the time points using the time windows specified in the table below.

**Table 3 - Analysis Time Windows for Safety Laboratory Data**

Phase	Time point	Time Window
Pre-Treatment	Baseline	Last value prior to start of study treatment (i.e. $\leq$ study day 1)
Treatment Weeks	4 (day 29)	Study day during treatment period (Study day 1 = first treatment day) 15 – 42 <sup>^</sup>
	8 (day 57)	43 – 70 <sup>^</sup>
	12 (day 85)	71 – 98 <sup>^</sup>
	16 (day 113)	99 – 126 <sup>^</sup>
EoT	Actual EoT	Study day of last dose# (28 days prior to last dose - 7 days post last dose)
Post Treatment	4 weeks (28 days post last dose)	8– 56 post last dose
	12 weeks (84 days post last dose)	57 – 126 days post last dose
	24 weeks (168 days post last dose)	127 – 252 days post last dose

<sup>^</sup>values must also be  $\leq$  7 days post last dose

#  $\geq$  study day 2

### 4.2.3 Handling of missing data

No data will be imputed for any effectiveness or safety analyses except for the analyses of the HCV RNA endpoints and certain PRO endpoints. The discussion of missing data imputation for the PRO endpoints is included in section 4.2.7.2.3.

HCV RNA values will be selected for an RVR, EOTR and SVR analysis based on visit windows as defined in Table 2. When there is no HCV RNA value in a visit window based on defined visit windows, the closest values before and after the window, regardless of the value chosen for the subsequent and preceding window, will be used for the flanking imputation described below. For analysis of RVR, EOT and SVR, if a subject has a missing HCV RNA value at a post-Day 1 visit but with undetectable or unquantifiable ( $\leq$ 50 IU/mL) HCV RNA levels at both the preceding value and succeeding value, the HCV RNA level will be considered undetectable or unquantifiable, respectively, at this visit for this subject. In addition, if a subject has an unquantifiable HCV RNA level at the preceding value and an undetectable HCV RNA level at the succeeding value, or vice versa, the HCV RNA level will be imputed as unquantifiable at this visit for this subject.

For analyses of RVR, subjects still missing a value for the visit after flanking imputation will be imputed as a failure. For EoTR and SVR analysis, if there is no value in the appropriate window after flanking imputation but there is an HCV RNA value after the window, then it will be imputed into the EoTR or SVR window respectively. Subsequent to this flanking imputation, if a subject is missing a value for the visit window associated with the analysis, the subject will be imputed as a visit failure (i.e., not undetectable or unquantifiable).

If a subject starts another treatment for HCV, then all HCV RNA values for this subject measured on or after the start date of the new HCV treatment will be excluded from analyses. The subject will be considered a failure for summaries of viral response at all time points after the start of the new HCV treatment.

Due to the non-interventional nature of this study several different methods for determination of the HCV RNA value can be applied. For the purpose of the statistical analysis, a HCV RNA measurement is considered  $\leq 50$  IU/mL,

- if a PCR test was used
- and the test result is undetectable and the LLoD of the test is  $\leq 50$  IU/mL or the test result is unquantifiable (i.e. detected but below LLoQ) and the LLoQ is  $\leq 50$  IU/mL.

#### 4.2.4 Site and Researcher Information

Data of the study sites and of the Researcher will be presented in summary tables and listings.

The summary tables for site information will show absolute and relative frequencies for the institution type (private practice/ private hospital, general hospital, academic/ university hospital, other), the type of unit (general population, transplant, drug user, HIV/ HCV co-infection, hepatocellular carcinoma [HCC]), and the site location (urban, rural). Regarding type of unit multiple answers per site are possible.

The principle researcher experience will be summarized by presenting for each therapeutic specialty (hepatology, infectious disease, gastroenterology, internal medicine, transplant, general practitioner) the number and percentage of principle researchers, who have the specific experience. Furthermore, the number and percentage of sites with at least one researcher (i.e. including co-researcher) of the therapeutic specialty concerned and the number of HCV-infected patients typically seen per month at the site (<25, 25-50, 51-75, 76-100, >100), including the patients seen by co-researcher, will be summarized. Note: Multiple therapeutic specialties could be reported per researcher.

An overview of Tables for site and researcher information is given in Table 4.

**Table 4 Overview of Outputs for Site and Researcher Information**

Output Title	Output Name	Short	Analysis Population
Site Information - <country>	tsi_<c>		Sites with patients
Researcher Experience - <country>	tre_<c>		Sites with patients

The layouts of the tables mentioned above are provided in Part II of the SAP (Table Shells).

#### 4.2.5 Patient Disposition

The frequencies of patients belonging to the different populations (i.e. EP, TP, CP, CPSFU; SP) will be summarized by genotype and cirrhosis status and overall using the EP analysis groups as specified in section 4.2.1. The reasons for exclusion from a particular population will be also summarized in the frequency tables. Additionally, information on patient disposition will be listed.

Furthermore the frequency of patients not completing the study as defined per protocol (i.e. no HCV RNA assessment performed at least 10 weeks post-treatment), will be summarized by treatment regimen and cirrhosis status and additionally by genotype and cirrhosis status and overall for the SP and CP. The reasons for not completing the study as entered on the CRF will be displayed (i.e. failure to return, insufficient virological response (HCV RNA detectable at end of treatment, relapse post-treatment), patient never started treatment, withdrawn consent, death, other).

Additionally, the main reason for early termination of the ABBVIE regimen (AE or SAE [Physician decision], virological non-response [Physician decision], rebound or breakthrough [Physician decision], resistance to DAA [Physician decision], patient refused to continue treatment, patient withdrew consent to participate in the study, lost to follow-up, other, unknown [if actual duration is shortened for more than 7 days]) and Ribavirin (Anemia, Nausea/Vomiting, Rash, Other) will be summarized by treatment regimen and cirrhosis status and additionally by genotype and cirrhosis status and overall for the following different analysis populations: CP and SP.

Finally the study regimens assigned at baseline will be summarized (a) by genotype and cirrhosis status and (b) by genotype and pre-treatment status (treatment naïve vs treatment experienced) for CP and SP.

An overview of Tables is given in Table 5.

**Table 5 Overview of Outputs for Patient Disposition**

Output Title	Output Short Name	Analysis Population
Patient Disposition by Genotype and Cirrhosis Status - EP - <country>	tdis_<c>_gc_ep	Patients Enrolled
Listing of Patient Disposition - EP- <country>	ldis_<c>_ep	Patients Enrolled
Premature Termination of the Study and its Main Reason by <Treatment Regimen/ Genotype> and Cirrhosis Status - CP - <country>	tps_<c>_<t/g>c_cp	Core population
Premature Termination of the Study and its Main Reason by <Treatment Regimen/ Genotype> and Cirrhosis Status - SP - <country>	tps_<c>_<t/g>c_sp	Safety population
Premature Termination of Treatment and its Main Reason by <Treatment Regimen/ Genotype> and Cirrhosis Status - CP - <country>	tpt_<c>_<t/g>c_cp	Core population
Premature Termination of Treatment and its Main Reason by <Treatment Regimen/ Genotype> and Cirrhosis Status - SP- <country>	tpt_<c>_<t/g>c_sp	Safety population
Treatment Regimen by Genotype and Cirrhosis Status - CP - <country>	ttr_<c>_gc_cp	Core population
Treatment Regimen by Genotype and Cirrhosis Status - SP - <country>	ttr_<c>_gc_sp	Safety population
Treatment Regimen by Genotype and Pretreatment Status - CP - <country>	ttr_<c>_gpe_cp	Core population
Treatment Regimen by Genotype and Pretreatment Status - SP - <country>	ttr_<c>_gpe_sp	Safety population

The layouts of the tables mentioned above are provided in Part II of the SAP (Table Shells).

#### 4.2.6 Baseline Characteristics

All baseline and disease characteristics will be summarized for the CP stratified by genotype and cirrhosis status and overall, in accordance with the CP analysis groups specified in section 4.2.1). Corresponding baseline summaries will be repeated for the TP. In addition, these characteristics will be summarized by cirrhosis status and treatment regimen and overall for the SP, in accordance with the SP analysis groups specified in section 4.2.1. Summary statistics (n, mean, median, standard deviation [SD], minimum, maximum) will be generated for continuous variables (e.g. age and body mass index [BMI]). The number and percentage of patients will be presented for categorical variables (e.g. gender and race).

An overview of tables for baseline characteristics is given in Table 6.

##### 4.2.6.1 Socio-demographic characteristics

Demographic data will be summarized in a table displaying:

- Age (continuous and grouped by 18-65, 66-84, >=85 years)
- Gender (Male, Female)

- Race/ Ethnic Origin (White/Caucasian, Black, Asian/Oriental, Native American/American Indian, Other)
- Interleukin 28B (IL28B) genotype, rs12979860 (CC, CT, TT, Unknown)
- Interleukin 28B (IL28B) genotype, rs8099917 (TT,TG, GG, Unknown)
- Height [cm]
- Weight [kg]
- BMI [kg/m<sup>2</sup>]

#### 4.2.6.2 CHC disease characteristics

CHC disease characteristics will be summarized in a table displaying:

- Years since diagnosis of HCV infection
- Mode of HCV Infection (Drug use (i.v.), Drug use (non i.v.), Sexual transmission, Occupational [HCV acquired while doing his/her job, e.g. physicians or nurses], Blood transfusion or transplantation, Perinatal, Contaminated medical device [other than i.v. drug use], Other, Unknown)
- Pretreatment Status (Naïve, Experienced)
- HCV Genotype and Subtype (as recorded in the CRF)
- Most recent stage of liver fibrosis (No cirrhosis, Transition to cirrhosis, Cirrhosis) [Only one method should be selected by the physicians to report stage of fibrosis. Nevertheless, if there are multiple answers the following priority will be used:
  1. Biopsy,
  2. Non-invasive,
  3. Clinical/best guess.

For biopsy as assessment method the respective categories are defined as follows:

- “No cirrhosis” is defined by “No fibrosis”, “Mild/minimal fibrosis”, “Moderate fibrosis”
- “Transition to cirrhosis” is defined by “Advanced Fibrosis”
- “Cirrhosis” is defined by “Cirrhosis”.]
- Assessment method for liver fibrosis staging (Biopsy, Non-invasive, Clinical/Best guess) [If multiple answers are ticked, the priority is as follows: biopsy, non-invasive, clinical/best guess.]
- Time between treatment start and biopsy assessment [months] (continuous)
- Time since biopsy [months] (categorized as ≤3, >3-6, >6-12, >12-24, >24-60, >60 months)
- Metavir fibrosis score (0, 1, 2, 3, 4)
- Ishak fibrosis score (0, 1, 2, 3, 4, 5, 6)
- Batts/Ludwig fibrosis score (0, 1, 2, 3, 4)
- Knodell fibrosis score (0, 1, 3, 4)
- Scheuer fibrosis score (0, 1, 2, 3, 4)
- Time between treatment start and FibroScan assessment [months] (continuous)
- Time since FibroScan [months] (categorized as ≤1, >1-2, >2-6, >6-12, >12-24, >24 months)
- FibroScan [kPa] (<8.8, 8.8-<9.6, 9.6-<14.6, ≥14.6)
- Time between treatment start and ARFI assessment [months] (continuous)

- Time since ARFI [months] (categorized as  $\leq 1$ , >1-2, >2-6, >6-12, >12-24, >24 months)
- AFRI [m/s]
- Time between treatment start and FibroTest assessment [months] (continuous)
- Time since FibroTest [months] (categorized as  $\leq 1$ , >1-2, >2-6, >6-12, >12-24, >24 months)
- Results of FibroTest ( $\leq 0.21$ , 0.22-0.27, 0.28-0.31, 0.32-0.48, 0.49-0.58, 0.59-0.72, 0.73-0.74,  $\geq 0.75$ )
- Esophageal varices (Yes, No, Unknown)
- History of liver decompensation (No - never decompensated, Yes - but currently compensated, Yes – still decompensated [including patients with a Child Pugh Score  $\geq 7$ ], Current signs/symptoms – Total, Current signs/symptoms – Coagulopathy, Current signs/symptoms – Hyperbilirubinemia, Current signs/symptoms – Hepatic encephalopathy, Current signs/symptoms – Hypo-albuminemia, Current signs/symptoms – Ascites, Current signs/symptoms – Bleeding from esophageal varices [multiple answers are possible])
- Child Pugh Score (categorized as 5-6, 7-9, 10-15)

#### 4.2.6.3 CHC treatment history

For treatment-experienced patients the most recent prior treatment and the outcome to prior treatment as reported by the investigator in the eCRF (Null response, Partial response, Breakthrough, Relapse, Sustained virological response (SVR) followed by reinfection, Discontinued [and none of the above], Unknown/ None of the above) will be summarized in frequency tables. In addition to the frequency of each medication (IFN alpha, PEG IFN-alpha, IFN NOS, PEG IFN NOS, Ribavirin, DAA), the frequency of the combinations (pegIFN alpha + RBV, pegIFN alpha + RBV + Telaprevir, XXX) will be summarized.

#### 4.2.6.4 Co-morbidities and co-infections

A summary table will present the absolute and relative frequencies of HCV co-infections, CHC related comorbidities and other co-morbidities, in more detail:

- HCV Co-infections
  - Human immunodeficiency virus (HIV)
  - Hepatitis B virus (HBV)
  - Tuberculosis
  - Schistosomiasis
- Liver and/ or CHC related co-morbidities
  - Liver transplantation
  - Hepatocellular carcinoma
  - Steatosis (non-alcoholic)
  - Alcoholic liver disease
  - Primary biliary cirrhosis

- Auto-immune hepatitis
- Wilson disease
- Cryoglobulinemia
- Porphyria cutanea tarda (PCT)
- Auto-immune skin disease
- Other co-morbidities
  - Kidney transplant
  - Chronic kidney disease (Mild, Moderate, Severe, Currently on dialysis, Currently not on dialysis)
  - Psychiatric disorders (Depression, Bipolar disorder, Schizophrenia, Personality disorder)
  - Diabetes mellitus (Type 1, Type 2)
  - Insulin resistance
  - Metabolic syndrome
  - Lipid disorder
  - Hyperthyroidism
  - Hypothyroidism
  - Cardiovascular disease (Myocardial infarction, Angina pectoris, Hypertension, Stroke)
  - Immunologically mediated disease
  - Hemophilia
  - Thalassemia
  - Sickle cell anemia
  - V. Willebrand disease
  - Psychoactive substance dependency (Active injection drug use, Inhalate cocaine, Marijuana/ cannabis, Opiate substitution)
  - Other

The patient's alcohol consumption will be displayed in a further table, i.e.:

- Alcohol use (None, Yes – occasional, Yes – regular, Ex-drinker [6 units/drinks per day, none in the last 3 months])
- Average number of units/drinks per week (if regular alcohol use is reported)

One unit/drink is defined as 10 milliliters (or approximately 8 grams) of pure alcohol and equals: 200 ml of beer or 100 ml of wine or 20 ml of hard liquor.

#### **4.2.6.5 CHC related and other laboratory data at baseline**

The laboratory data at baseline are the most recent available data prior to first administration of the ABBVIE REGIMEN (including day 1, see Table 3). All reported clinical laboratory test results will be assigned to one of the time points using the time windows specified in the Table 3.

A summary table will show CHC related laboratory data at baseline, i.e. HCV RNA in IU/mL, HCV RNA in log<sub>10</sub> IU/mL, and HCV RNA categorized using 400,000, 800,000, 6,000,000 and 10,000,000 as cut-offs, ALT, ALT ratio, AST, AST ratio, APRI, FIB-4. ALT-ratio, AST ratio, APRI and FIB-4 will be calculated as follows:

ALT ratio = ALT value / upper limit of normal (ULN) of local laboratory

AST ratio = AST value / ULN of local laboratory

APRI =  $100 \times \text{AST [IU/L]} / (\text{ULN of AST [IU/L]} * \text{Platelets [10}^9\text{/L]})$  (APRI= aspartate aminotransferase to platelet ratio index [1]; calculated, if laboratory assessments took place within 30 days)

FIB-4 =  $(\text{Age [years]} \times \text{AST [IU/L]} / (\text{Platelets [10}^9\text{/L]} * \text{Square root of ALT [IU/L]}))$  (FIB-4= Fibrosis 4 index [2]; calculated, if laboratory assessments took place within 30 days)

In addition the following other key laboratory data at baseline will be summarized:  $\gamma$ -GT, total bilirubin, albumin, creatinine, creatinine clearance (continuous and categorize as Grade 1 [60-<LLN mL/min], Grade 2 [30-<60 mL/min], Grade 3 [15-<30 mL/min], Grade 4 [<15 mL/min]; if LLN is missing 75 mL/min is used), AFP, hemoglobin, platelets, prothrombin time, and INR (if documented instead of prothrombin time). The creatinine clearance will be calculated as follows:

Creatinine clearance [ml/min] =  $(140 - \text{age}) * \text{Weight (in kg)} * \text{constant} / \text{Creatinine (in } \mu\text{mol/L)}$  (constant is 1.23 for men and 1.04 for women; calculated by Cockcroft-Gault-Formula)

A further table CD4 and HIV-RNA test results are displayed for patients with HIV only.

**Table 6 Overview of Outputs for Baseline Characteristics**

Output Title	Output Short Name	Analysis Population
Socio-demographic Characteristics by Genotype and Cirrhosis Status - CP - <country>	tdm_<c>_gc_cp	Core population
Socio-demographic Characteristics by Genotype and Cirrhosis Status - TP - <country>	tdm_<c>_gc_tp	Target population
Socio-demographic Characteristics by Treatment Regimen and Cirrhosis Status - SP - <country>	tdm_<c>_tc_sp	Safety population
CHC Disease Characteristics by Genotype and Cirrhosis Status - CP - <country>	tdc_<c>_gc_cp	Core population
CHC Disease Characteristics by Genotype and Cirrhosis Status - TP - <country>	tdc_<c>_gc_tp	Target population
CHC Disease Characteristics by Treatment Regimen and Cirrhosis Status - SP - <country>	tdc_<c>_tc_sp	Safety population
CHC Related Laboratory Variables at Baseline by Genotype and Cirrhosis Status - CP - <country>	tlcdb_<c>_gc_cp	Core population
CHC Related Laboratory Variables at Baseline by Genotype and Cirrhosis Status - TP - <country>	tlcdb_<c>_gc_tp	Target population
CHC Related Laboratory Variables at Baseline by Treatment Regimen and Cirrhosis Status - SP - <country>	tlcdb_<c>_tc_sp	Safety population
Other Key Laboratory Variables at Baseline by Genotype and Cirrhosis Status - CP - <country>	tkldb_<c>_gc_cp	Core population
Other Key Laboratory Variables at Baseline by Genotype and Cirrhosis Status - TP - <country>	tkldb_<c>_gc_tp	Target population
Other Key Laboratory Variables at Baseline by Treatment Regimen and Cirrhosis Status - SP - <country>	tkldb_<c>_tc_sp	Safety population
CHC Treatment History in Treatment Experienced Patients by Genotype and Cirrhosis Status - CP - <country>	tth_<c>_gc_cp_ep	Core population: treatment-experienced patients
CHC Treatment History in Treatment Experienced Patients by Genotype and Cirrhosis Status - TP - <country>	tth_<c>_gc_tp_ep	Target population: treatment-experienced patients
CHC Treatment History in Treatment Experienced Patients by Treatment Regimen and Cirrhosis Status - SP - <country>	tth_<c>_tc_sp_ep	Safety population: treatment-experienced patients
Co-morbidities and Co-infections by Genotype and Cirrhosis Status - CP - <country>	tcoi_<c>_gc_cp	Core population
Co-morbidities and Co-infections by Genotype and Cirrhosis Status - TP - <country>	tcoi_<c>_gc_tp	Target population
Co-morbidities and Co-infections by Treatment Regimen and Cirrhosis Status - SP - <country>	tcoi_<c>_tc_sp	Safety population

Alcohol Consumption by Genotype and Cirrhosis Status - CP - <country>	tac_<c>_gc_cp	Core population
Alcohol Consumption by Genotype and Cirrhosis Status - TP - <country>	tac_<c>_gc_tp	Target population
Alcohol Consumption by Treatment Regimen and Cirrhosis Status - SP - <country>	tac_<c>_tc_sp	Safety population
CD4 and HIV RNA in Patients with HIV at Baseline by Genotype and Cirrhosis Status - CP - <country>	tlchb_<c>_gc_cp_hiv	Core population: patients with HIV
CD4 and HIV RNA in Patients with HIV at Baseline by Genotype and Cirrhosis Status - TP - <country>	tlchb_<c>_gc_tp_hiv	Target population: patients with HIV
CD4 and HIV RNA in Patients with HIV at Baseline by Treatment Regimen and Cirrhosis Status - SP - <country>	tlchb_<c>_tc_sp_hiv	Safety population: patients with HIV

The layouts of the tables mentioned above are provided in Part II of the SAP (Table Shells).

## 4.2.7 Analyses of the Objectives

### 4.2.7.1 Primary Effectiveness Variable

The primary objective of this study is to estimate the SVR12 rates in HCV patients treated according to the ABBVIE regimen.

Therefore the simple percentage of patients achieving SVR12 (for definition see section 4.1.1) will be calculated and a two-sided 95% confidence interval (CI) of the percentage will be computed based on the Wilson's Score method.

The primary effectiveness analysis on clinical outcomes will be performed on all patients in the CP stratified by genotype and cirrhosis status and overall.

In the framework of sensitivity analyses the primary analyses will be repeated for the CPSFU. Furthermore, the SVR12 rates will be also determined for all patients of the NCP.

An overview of Tables is given in Table 7.

The layouts of the tables mentioned above are provided in Part II of the SAP (Table Shells).

### 4.2.7.2 Secondary Variables

#### 4.2.7.2.1 Secondary Effectiveness Variables

##### Response

For the other response rates (i.e. RVR, SVR24, EoT response rate, relapse rate, viral breakthrough), the simple percentage of patients and a two-sided 95% confidence interval (CI) of the percentage will be computed (based on the Wilson's score method) as well. The RVR and EoT response rates will be reported for CP and the SVR24 rate will be reported for the respective CPSFU, stratified by genotype and cirrhosis status and overall. The relapse rates will be estimated stratified by SVR window (i.e. during SVR12 window=relapse<sub>12</sub>, during SVR24

window=relapse<sub>24</sub>) and stratified by genotype and cirrhosis status and overall in patients of the CP with EoT response who fulfilled the following criteria:

- completed treatment as defined previously,
- had at least one HCV RNA measurement  $\geq 70$  days post-treatment or was a treatment failure between EoT and 70 days post-treatment.

Viral breakthrough rates [defined as at least one documented HCV RNA  $< 50$  IU/mL followed by HCV RNA  $\geq 50$  IU/mL during treatment] will be estimated overall and stratified by genotype and cirrhosis status in all patients of the CP, who have at least one undetectable or unquantifiable, on-treatment HCV RNA measurement and at least one on-treatment or EoT measurement thereafter.

### **Non-Response**

In another table, the numbers and the percentages of the SVR12 non-responder categories and responder will be summarized for CP patients, overall and stratified by genotype and cirrhosis status. The following SVR12 non-response categories will be considered:

- On-treatment virologic failure (breakthrough [defined as at least one documented HCV RNA  $< 50$  IU/mL followed by HCV RNA  $\geq 50$  IU/mL during treatment] or failure to suppress [each measured on-treatment HCV RNA value  $\geq 50$  IU/mL])
- Relapse (defined as HCV RNA  $< 50$  IU/mL at EoT followed by HCV RNA  $\geq 50$  IU/mL post-treatment and completed treatment as defined previously)
- Death and none of the above
- Premature study drug discontinuation with no on-treatment virologic failure and none of the above
- Insufficient virological response, other than those mentioned above (patients for whom insufficient virological response was reported, or who had HCV RNA  $\geq 50$  IU/mL post EoT) and none of the above
- Missing SVR12 data and/or none of the above criteria

Virological response rates and non-response categories will be displayed for patients who completed treatment with ABBVIE regimen, as well.

### **SVR12 (Exploratory Analyses)**

Univariate logistic regression methods will be used to investigate the impact of various explanatory covariates (patient and disease characteristics) at baseline on SVR12.

These analyses will be of exploratory nature, data driven, and will be performed for CP population.

The variables to be considered are as follows:

- Key demographic information
  - Age (continuous)

- Gender (Female [reference], Male)
- Race/ethnic origin (White/Caucasian [reference], Black, Asian/Oriental, Other [all other races]); at least two categories with at least 10% of the patients each have to exist, so that this variable will be considered
- Weight (continuous)
- BMI (continuous)
- IL28B, rs12979860 (CC [reference], CT or TT); patients with unknown genotype are excluded from the respective analyses.
- CHC disease characteristics
  - Years since diagnosis (continuous)
  - Mode of CHC infection (Drug use [reference], Other [all other answers excluding unknown], unknown);
  - Most recent stage of liver fibrosis (No cirrhosis/Transition to cirrhosis [reference], Cirrhosis; for definition see 4.2.6.2);
- HCV RNA level at baseline ( $\log_{10}$  IU/mL)
- HCV genotype( G1a\*, G1b, G4; for definition see section 4.2.6.2)
- Type of treating institute (Private Practice / Private Hospital, Academic / University Hospital, General Hospital, Other)
- Co-infections (every patient not reporting the respective co-infection is considered as not having the respective co-infection)
  - HIV(No [reference], Yes)
  - HBV(No [reference], Yes)
- Liver and/or CHC related co-morbidities (every patient not reporting the respective co-morbidity is considered as not having the respective co-morbidity)
  - Liver transplantation (No [reference], Yes)
  - Steatosis (non-alcoholic) (No [reference], Yes)
  - Decompensated liver disease (No [reference], Yes)
- Other co-morbidities (every patient not reporting the respective co-morbidity is considered as not having the respective co-morbidity)
  - Depression (No [reference], Yes)
  - Diabetes mellitus (No [reference], Type 1/Type 2)
  - Hypertension (No [reference], Yes)
  - Immunologically mediated disease (No [reference], Yes)

- Hypothyroidism (No [reference], Yes)
- Alcohol use (None [reference], Yes –occasional, Yes –regular, Exdrinker)
- Key clinical chemistry and hematology laboratory variables at baseline
  - ALT ratio (continuous, see 4.2.6.5)
  - AST ratio (continuous, see 4.2.6.5)
  - Platelets [ $10^9/L$ ] (continuous)
- Prior treatment status (HCV treatment naïve [reference], HCV treatment experienced)
- RBV vs no RBV
- Planned treatment duration 12 wks vs 24 weeks

The categories pre-specified above could be modified in the analyses if this is supported by the data (e.g. categories could be combined, if their impact on SVR12 is similar). Furthermore, continuous variables can be replaced by categorical variables.

### **Adherence**

Adherence will be displayed for the CP by genotype and cirrhosis status and for the SP by treatment regimen and cirrhosis status. Summary statistics (n, mean, median, standard deviation [SD], and range) will be generated for continuous variable. Numbers and percentages of patients will be presented for categorical variables.

- Adherence to ABBVIE regimen (% of target dose [adherence=cumulated number of pills taken / (initial prescribed number of pills x planned duration)])
  - >105%
  - >95%-<=105%
  - >80%-<=95%
  - >50%-<=80%
  - <=50%
- Adherence to ribavirin (% of target dose [adherence=cumulated dose taken / (initial prescribed dose x planned duration)])

- >105%
  - >95%-<=105%
  - >80%-<=95%
  - >50%-<=80%
  - <=50%
- Percentage of actual treatment duration in relation to the target duration of ABBVIE regimen taken
- Deviating duration of ABBVIE regimen
  - Early discontinuation (actual duration is shortened for more than 7 days)
  - Not deviated
  - Exceedance (actual duration is prolonged for more than 7 days)
- Method used to document adherence (Interview, Diary)
- Percentage of actual days with treatment with RBV in relation to the planned duration
- Ribavirin earlier discontinued than ABBVIE regimen
- Initial dose of Ribavirin
  - 1000 mg/day
  - 1200 mg/day
  - XXX
- Cumulative dose of Ribavirin (g)
- Dose modifications/termination of RBV [in patients taking RBV, for a patient more than one dose modification and its main reason could be reported, but for each single reason the patient is counted only once] (Total number of patients with lower dose than highest previous dose [including patients who stopped the treatment earlier], Anemia, Nausea/Vomiting, Rash, Other [including patients who discontinued ABBVIE regimen earlier, but did not stop the RBV treatment before the ABBVIE regimen])

In addition to the summary statistics of the adherence variables, logistic regression analyses will be performed for the following adherence variables: “adherence to ABBVIE regimen” in all patients of the CP, “adherence to ribavirin” in all patients of the CP taking RBV, each categorized as follows: >=95%, >80%-<=95%, <=80% to investigate the additional impact of overall treatment adherence on SVR12. The categories may be changed if they are sparsely filled. The same methods will be applied as described above.

An overview of tables is given in Table 7.

**Table 7 Overview of Outputs for Primary and Secondary Effectiveness Analysis**

Output Title	Output Short Name	Analysis Population
Virological Response Rates by Genotype and Cirrhosis Status – CP/CPSFU - <country>	tvr_<c>_gc_cp	Core population/ Core population with sufficient follow-up data
Virological Response Rates by Genotype and Cirrhosis Status in Patients who Completed ABBVIE Regimen – CP/CPSFU - <country>	tvr_<c>_gc_cp_ARC	Core population/ Core population with sufficient follow-up data: patients who completed ABBVIE regimen
Virological Response Rates - NCP - <country>	tvr_<c>_ncp	Non-core population
Relapse Rates by Genotype and Cirrhosis Status – CP - <country>	trr_<c>_gc_cp	Core population
Viral Breakthrough by Genotype and Cirrhosis Status – CP - <country>	tvb_<c>_gc_cp	Core population
Non-Response Rates by Genotype and Cirrhosis Status – CP - <country>	tnrr_<c>_gc_cp	Core population
Non-Response Rates by Genotype and Cirrhosis Status in Patients who Completed ABBVIE Regimen – CP - <country>	tnrr_<c>_gc_cp_ARC	Core population: patients who completed ABBVIE regimen
Impact of Patient and Disease Characteristics on SVR12 – CP - <country>	tis_<c>_cp	Core population
Adherence by Genotype and Cirrhosis Status - CP - <country>	tah_<c>_gc_cp	Core population
Adherence by Treatment Regimen and Cirrhosis Status - SP - <country>	tah_<c>_tc_sp	Safety population
Impact of Adherence to ABBVIE Regimen on SVR12 – CP - <country>	tiaa_<c>_cp	Core population
Impact of Adherence to Ribavirin on SVR12 in patients taking RBV – CP - <country>	tiar_<c>_cp_RBV	Core population: patients taking RBV

The layouts of the tables mentioned above are provided in Part II of the SAP (Table Shells).

#### **4.2.7.2.2 Attitude towards self-management, medication and support**

The attitude towards self-management, medication and support will be analyzed for the CP.

##### **Patient Support Program– (PSP)**

The PSP utilization and satisfaction assessment will evaluate the frequency of utilization and patient's overall satisfaction with their respective Patient Support Program.

The contribution of the patient support program (PSP) to disease control, treatment continuation over time, patient satisfaction and PSP utilization will be analyzed using descriptive and exploratory statistical methods. Frequency tables will summarize all corresponding variables of the PSP questionnaires over time stratified by cirrhosis status and treatment regimen.

#### PSP Utilization Questionnaire

- Participation in the ABBVIE Patient Support Program (PSP)
  - Yes
  - No
- Utilization of any components from ABBVIE PSP since last visit (Yes,No)
- Personal support
- Educational and information material
  - Printed (Usually daily, Several times per week, Usually once a week, Less than once a week)
  - Online (Usually daily, Several times per week, Usually once a week, Less than once a week)
- Additional digital and mobile resources
  - Web-portal (Usually daily, Several times per week, Usually once a week, Less than once a week)
  - App (Usually daily, Several times per week, Usually once a week, Less than once a week)
  - Reminders

#### PSP Utilization and Satisfaction Questionnaire

- Participation in the ABBVIE Patient Support Program (PSP)
  - Yes
  - No
- Utilization of any components from ABBVIE PSP since last visit
  - No
  - Yes
    - Satisfaction in general (Very good, Good, Satisfactory, Poor)
    - Address needs(Yes, fully, Yes, mostly, No)

- Personal support (Very good, Good, Satisfactory, Poor)
- Educational and information material
  - Printed
    - Frequency of Usage (Usually daily, Several times per week, Usually once a week, Less than once a week)
    - Satisfaction (Very good, Good, Satisfactory, Poor)
  - Online
    - Frequency of Usage (Usually daily, Several times per week, Usually once a week, Less than once a week)
    - Satisfaction (Very good, Good, Satisfactory, Poor)
- Additional digital and mobile resources
  - Web-portal
    - Frequency of Usage (Usually daily, Several times per week, Usually once a week, Less than once a week)
    - Satisfaction (Very good, Good, Satisfactory, Poor)
  - App
    - Frequency of Usage (Usually daily, Several times per week, Usually once a week, Less than once a week)
    - Satisfaction (Very good, Good, Satisfactory, Poor)
  - Reminders: Satisfaction (Very good, Good, Satisfactory, Poor)

No imputation will be performed for missing items.

In addition, an univariate logistic regression analysis will be performed to investigate the association of the use of the PSP with treatment completion. The use of PSP will be analyzed in all CP patients. Use of the PSP is defined as taking part in PSP. Patients will be considered to have completed treatment when the actual duration of the ABBVIE REGIMEN is not shortened for more than 7 days.

These analyses will be of exploratory nature and data driven.

#### **Patient Activation Measure – (PAM-13)**

The Patient Activation Measure (PAM) 13 is a measure used to assess the patient knowledge, skill, and confidence for self-management [3]. The PAM-13 item scale is a measure used to assess the patient knowledge, skill, and confidence for self-management. Each of the 13 items

can be answered with one of four possible response options, which are “disagree strongly” (1), “disagree” (2), “agree” (3), “agree strongly” (4).

The scoring will be done by Insignia Health. If there are less than nine items answered, no summary score will be calculated.

A summary table will show descriptive statistics (n, mean, median, SD, minimum and maximum) of the score for baseline and the post-baseline time points, as well as changes from baseline, by cirrhosis status and treatment regimen.

**Table 8 Overview of Outputs for Secondary Variables**

Output Title	Output Short Name	Analysis Population
PAM-13 by Treatment Regimen and Cirrhosis Status - CP - <country>	tpam_<c>_tc_cp	Core population
PSP Utilization Until 12 weeks after EoT in Patients Taking Part in PSP by Treatment Regimen and Cirrhosis Status - CP - <country>	tpspu_<c>_tc_cp_ps p	Core population: Patients Taking Part in PSP
PSP Utilization and Satisfaction Until 12 weeks after EoT in Patients Taking Part in PSP by Treatment Regimen and Cirrhosis Status - CP - <country>	tpsp_<c>_tc_cp_ps p	Core population: Patients Taking Part in PSP
Impact of PSP on Treatment Completion - CP - <country>	tptc_<c>_cp	Core population

The layouts of the tables mentioned above are provided in Part II of the SAP (Table Shells).

#### 4.2.8 Analyses of Safety

All safety variables will be summarized for patients in the SP using descriptive statistical methods stratified by treatment regimen and cirrhosis status and overall.

An overview of tables for the individual values is given in Table 11.

The layouts of the tables mentioned above are provided in Part II of the SAP (Table Shells).

##### 4.2.8.1 Exposure to Study Medication

One table will show the frequencies for early discontinuation and exceedance of ABBVIE regimen or ribavirin and the corresponding reasons for SP by treatment regimen and cirrhosis status. The ABBVIE regimen is considered to have been prematurely discontinued if actual duration is shortened for more than 7 days. The duration for taking ABBVIE regimen is considered to have been exceeded if the actual duration is prolonged for more than 7 days. Furthermore, summary statistics will be generated for the duration of ABBVIE regimen taken and the duration of ribavirin taken, i.e. n, mean, median, standard deviation [SD], minimum, maximum.

Unintended medication errors, i.e. patient missed taking Paritaprevir/r-Ombitasvir or Dasabuvir for at least 7 days in a row, will be displayed by medication for the entire treatment period.

#### 4.2.8.2 Co-medication

Treatments, surgical and medical procedures will be coded by ABBVIE assigning appropriate preferred and class terms. Frequencies will be displayed for SP by treatment regimen and cirrhosis status.

In one table, the co-medication (preferred term) will be grouped by class. Only co-medication received during treatment specified by ABBVIE regimen will be displayed (Treatment profiles: A1, A2, C1 Drug B, C2 Drug B, C3 Drug B, D).

In another table, the co-medication (preferred term) will be grouped by profile. All treatment profiles will be considered, i.e.:

- A1: Continued (A1)
- A2: Permanently discontinued during CHC treatment (A2)
- A3: Permanently discontinued prior to CHC treatment (A3)
- B: Discontinued prior to CHC treatment and reinduced post-treatment (B)
- C1 Drug A: Permanently replaced at start of CHC treatment (C1A)
- C1 Drug B: Substitute at start of CHC treatment and discontinued during CHC treatment (C1B)
- C2 Drug A: Permanently replaced at start of CHC treatment (C2A)
- C2 Drug B: Substitute at start of CHC treatment and continued (C2B)
- C3 Drug A: Replaced during CHC treatment (C3A)
- C3 Drug B: Substitute during CHC treatment (C3B)
- D: Introduced during CHC treatment (D)
- No profile reported: No profile reported

Another table will show the associated treatment profiles for the medications of special interest. Therefore the medication will be pooled appropriately. All treatment profiles will be considered.

A further table will show the associated treated conditions, i.e.:

- Alcoholic liver disease
- Angina pectoris
- Auto-immune hepatitis
- Auto-immune skin disease
- Bipolar disorder
- Chronic kidney disease
- Cryoglobulinemia
- Depression
- Diabetes mellitus (Type 1)
- Diabetes mellitus (Type 2)
- Hemophilia
- Hepatitis B

- Hepatocellular carcinoma
- HIV
- Hypertension
- Hyperthyroidism
- Hypothyroidism
- Immunologically mediated disease
- Insulin resistance
- Kidney transplantation
- Lipid disorder
- Liver transplantation
- Metabolic syndrome
- Myocardial infarction
- Opiate substitution
- Personality disorder
- Porphyria cutanea tarda (PCT)
- Primary biliary cirrhosis
- Schistosomiasis
- Schizophrenia
- Sickle cell anemia
- Steatosis (non-alcoholic)
- Stroke
- Thalassemia
- Tuberculosis
- v. Willebrand disease
- Wilson disease
- Other

All treatment profiles will be considered.

One table will displays the number of patients taking herbals except St. John's Wort, homeopathics or other drugs for which neither a name nor a profile nor a treated condition had to be reported. These medications will be excluded from the other co-medication tables.

A glossary will show the verbatim terms and the corresponding coded terms used (see Table 11).

#### **4.2.8.3 Adverse Events**

All tolerability variables will be summarized using descriptive statistical methods for the SP stratified by treatment regimen and cirrhosis status and overall.

AEs will be coded using MedDRA. A glossary will show the verbatim terms and the corresponding coded terms used (see Table 11).

The number and percentage of patients with treatment-emergent AEs (i.e. any reported event that begins or worsens in severity after initiation of study drug through 30 days post-study drug dosing including those who are related to the study drug independent of the occurrence and those where the date of onset is missing) will be tabulated by primary MedDRA SOC and PT.

Corresponding summary tables will be provided for all serious treatment-emergent AEs and additional for all non-serious treatment-emergent AEs. In the table of non-serious AEs (that must exclude any SAE) only preferred terms that occurred at a frequency of  $\geq 5\%$  in any treatment group will be displayed (no SOCs will be displayed).

The tabulation of the number of patients with treatment-emergent AEs by severity (AEs by severity [mild, moderate, severe], AEs leading to death, AEs leading to hospitalization) and relationship to study drug will also be provided (ABBVIE regimen, ribavirin, medication error - paritaprevir/r-ombitasvir, medication error – dasabuvir, ABBVIE regimen withdrawn, ribavirin withdrawn).

Patients reporting more than one AE for a given MedDRA PT will be counted only once for that term using the most severe incident for the severity summary table and the most related for the relationship summary table. Patients reporting more than one type of event within a SOC will be counted only once for that SOC.

The frequency of pregnancies will be displayed as well.

#### **4.2.8.4 Laboratory Data**

All reported clinical laboratory test results will be assigned to one of the time points using the time windows specified in the Table 3. All will be performed for the SP by treatment regimen and cirrhosis status.

Changes from baseline to each post-baseline visit will be summarized descriptively (N, mean, SD, median, minimum, maximum). A summary table will show laboratory hematology. In another tables, information about clinical chemistry will be summarized.

In a further table CD4 and HIV-RNA are displayed for patients with HIV only by visit.

Instead of the shift tables mentioned in the study protocol, the number and percentage of subjects with post-baseline values until EoT meeting the specified criteria for Potentially Clinically

Significant (PCS) laboratory values (defined in Table 2) will be summarized. A post-baseline value must be more extreme than the baseline value to be considered a PCS finding.

**Table 9 Criteria for PCS laboratory values**

<u>Laboratory data</u>	<u>Very Low</u>	<u>Very High</u>
<b>Laboratory hematology</b>		
Hemoglobin [g/L]	<80	
Platelets [ $10^9/L$ ]	<50	
<b>Laboratory clinical chemistry</b>		
ALT		>5 x ULN and ≥ 2 x baseline
AST		>5 x ULN and ≥ 2 x baseline
Creatinine [ $\mu\text{mol/L}$ ]		>132.605
Creatinine clearance [mL/min]***	<50	

\*\*\* estimated by Cockcroft-Gault-Formula (see above)

Additionally, for hemoglobin and creatinine clearance, the number and percentage of subjects with a maximum Common Terminology Criteria for Adverse Events (CTCAE) Grade of 0, 1, 2, 3, or 4 (see definitions in Table 10) at any post-baseline visit (regardless of the baseline value) through the end of treatment (i.e., Final Treatment Value) will be summarized. For the liver function tests (LFTs) of ALT and AST, the number and percentage of subjects in with a maximum CTCAE Grade of 0, 1, 2, 3, or 4 (see definitions in Table 10) at any post-nadir visit through the end of treatment (i.e., Final Treatment Value) will be summarized. Note, for these analyses, the nadir is used for reference (including baseline).

**Table 10 Definition of CTCAE Grades 0-4**

<u>Laboratory data</u>	<u>Grade 0</u>	<u>Grade 1</u>	<u>Grade 2</u>	<u>Grade 3</u>	<u>Grade 4</u>
ALT	≤ULN	>ULN – 3 x ULN	> 3 – 5 x ULN	> 5 – 20 x ULN	> 20 x ULN
AST	≤ULN	>ULN – 3 x ULN	> 3 – 5 x ULN	> 5 – 20 x ULN	> 20 x ULN
Hemoglobin Decreased [g/L]	≥130	<130 – 100	< 100 - 80	< 80 - 65	< 65
Creatinine Clearance[mL/min]	≥ 75	< 75 - 60	< 60 - 30	< 30 – 15	< 15

**Table 11 Overview of Outputs for Safety Variables**

Output Title	Output Short Name	Analysis Population
Exposure to Study Medication by Treatment Regimen and Cirrhosis Status - SP - <country>	tesm_<c>_tc_sp	Safety population
Unintended Medication Error /Pregnancy by Treatment Regimen and Cirrhosis Status -- SP - <country>	tum_<c>_tc_sp	Safety population
Treatment-emergent AEs by Treatment Regimen and Cirrhosis Status - SP - <country>	tae_<c>_tc_sp	Safety population
Serious Treatment-emergent AEs by Treatment Regimen and Cirrhosis Status - SP - <country>	tsae_<c>_tc_sp	Safety population
Non-Serious Treatment-emergent AEs by Treatment Regimen and Cirrhosis Status - SP - <country>	tnsae_<c>_tc_sp	Safety population
Treatment-emergent AEs by Severity by Treatment Regimen and Cirrhosis Status - SP - <country>	taes_<c>_tc_sp	Safety population
Treatment-emergent AEs Leading to Death by Treatment Regimen and Cirrhosis Status - SP - <country>	taed_<c>_tc_sp	Safety population
Treatment-emergent AEs Leading to Hospitalization by Treatment Regimen and Cirrhosis Status - SP - <country>	taeh_<c>_tc_sp	Safety population
Treatment-emergent AEs Possibly Related to ABBVIE Regimen by Treatment Regimen and Cirrhosis Status - SP - <country>	taera_<c>_tc_sp	Safety population
Treatment-emergent AEs Possibly Related to Ribavirin in Patients taking RBV by Treatment Regimen and Cirrhosis Status - SP - <country>	taerr_<c>_tc_sp_RB V	Safety population: patients taking RBV
Treatment-emergent AEs Caused by Medication Error - Paritaprevir/r-Ombitasvir - by Treatment Regimen and Cirrhosis Status - SP - <country>	taempo_<c>_tc_sp	Safety population
Treatment-emergent AEs Caused by Medication Error - Dasabuvir - in Patients taking 3DAA by Treatment Regimen and Cirrhosis Status - SP - <country>	taemd_<c>_tc_sp_3d aa	Safety population: patients taking 3DAA
Treatment-emergent AEs Leading to Withdrawal of ABBVIE Regimen by Treatment Regimen and Cirrhosis Status - SP - <country>	taewa_<c>_tc_sp	Safety population
Treatment-emergent AEs Leading to Withdrawal of Ribavirin in Patients taking RBV by Treatment Regimen and Cirrhosis Status - SP - <country>	taewr_<c>_tc_sp_RB V	Safety population: patients taking RBV
Listing of SAEs - SP - <country>	lsae_<c>_sp	Safety population
Glossary for AEs - <country>	tgae_<c>	-
Co-medication by Treatment Regimen and Cirrhosis Status - SP - <country>	tcom_<c>_tc_sp	Safety population
Co-medication by Profile and by Treatment Regimen and Cirrhosis Status - SP - <country>	tcomp_<c>_tc_sp	Safety population
Associated Treated Condition by Treatment Regimen and Cirrhosis Status - SP - <country>	tatc_<c>_tc_sp	Safety population
Associated Treatment Profiles by Medication of Special Interest and by Treatment Regimen and Cirrhosis Status - SP - <country>	tatp_<c>_mtc_sp	Safety population

Herbals Except St. John's Wort, Homeopathics or Other Drugs Taken During Study by Treatment Regimen and Cirrhosis Status - SP - <country> Glossary for Co-medication - <country>	theho_<c>_tc_sp tgcom_<c>	Safety population -
Laboratory Hematology by Treatment Regimen and Cirrhosis Status - SP - <country>	tlh_<c>_tc_sp	Safety population
Laboratory Clinical Chemistry by Treatment Regimen and Cirrhosis Status - SP - <country>	tlc_<c>_tc_sp	Safety population
CD4 and HIV RNA in Patients With HIV by Treatment Regimen and Cirrhosis Status - SP - <country>	tlch_<c>_tc_sp_hiv	Safety population
Potentially Clinically Significant Laboratory Values by Treatment Regimen and Cirrhosis Status - SP - <country>	tpcs_<c>_tc_sp	Safety population
Worst Laboratory Values by Treatment Regimen and Cirrhosis Status - SP - <country>	twlv_<c>_tc_sp	Safety population

The layouts of the tables mentioned above are provided in Part II of the SAP (Table Shells).

#### 4.2.9 Interim Analyses

Interim analyses are not planned.

#### 4.2.10 Protocol Violations and Deviations

Enrolled patients who are excluded from the analysis populations will be summarized and the reasons listed (see section 4.2.1).

### 5. DATA QUALITY ASSURANCE

Data for this study will be recorded in English by each participating center via an electronic data capture (EDC) system using a web-based eCRF.

A comprehensive data validation program utilizing front-end checks in the eCRF will validate the data. Automated checks for data consistency will be implemented. Discrepancies need to be solved by the researcher in the eCRF before the module can be completed.

Follow-up on eCRF data for medical plausibility will be done by ABBVIE personnel (or their representatives). Queries will be generated in the eCRF for online resolution at the site. The investigator or an authorized member of the investigator's staff will make any necessary data corrections to the eCRF. All change information, including the date and

person performing the corrections, will be available via the audit trail, which is part of the EDC system. The principal investigator of each site will finally review the eCRFs for completeness and accuracy of available data and provide his or her electronic signature and date to the eCRFs as evidence thereof.

All statistical programs employed in the analysis and reporting of the data will be validated according the standard operating procedures of IST and results will be checked for plausibility.

## **6. METHODS OF DATA ANALYSIS AND PRESENTATION**

All statistical analyses will be carried out by means of the SAS® package (Version 9.4).

### **6.1 Analysis Data Sets**

A value added dataset STRATIFY will be programmed. This will contain derived variables, e.g. analysis populations, treatment regimen and relevant baseline characteristics, needed for the generation of the planned analyses.

A value added dataset SITES will be programmed. This will contain site information and researcher experience needed for the generation of the planned analyses.

Additional value added dataset will be programmed for the primary and secondary endpoints.

### **6.2 SAS Output Format**

Detailed descriptions of the SAS outputs are given in Part II of the SAP (Table Shells).

## 7. REFERENCES

1. Wai C-T, Greenson JK, Fontana RJ, Kalbfleisch JD, Marrero JA, Conjeevaram HS, et al. A simple noninvasive index can predict both significant fibrosis and cirrhosis in patients with chronic hepatitis C. *HEPATOLOGY* 2003; 38:518-526.
2. Sterling, R. K., Lissen, E., Clumeck, N., Sola, R., Correa, M. C., Montaner, J., S. Sulkowski, M., Torriani, F. J., Dieterich, D. T., Thomas, D. L., Messinger, D. and Nelson, M., Development of a simple noninvasive index to predict significant fibrosis in patients with HIV/HCV coinfection. *Hepatology* 2006, 43: 1317–1325.
3. Zill, J. M., Dwinger, S., Kriston, L., Rohenkohl, A., Härter, M. and Dirmaier, J., Psychometric evaluation of the German version of the patient activation measure (PAM13), *BMC Public Health* 2013 , 13:1027