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**Study Title**            MULTICENTRE RANDOMIZED DOUBLE BLIND, CROSSOVER, PLACEBO-CONTROLLED CLINICAL TRIAL TO EVALUATE THE EFFECT OF LIRALUTIDE ON LUNG FUNCTION IN PATIENTS WITH TYPE 2 DIABETES MELLITUS (LIRALUNG STUDY).

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66 **1. PROJECT OVERVIEW/SUMMARY**

67  
68 **1.1. Background an hypothesis:** Type 2 diabetes (T2DM) is related to reduced pulmonary function. As  
69 experimental studies with *glucagon-like peptide 1* (GLP-1) have shown an increase in pulmonary  
70 surfactant secretion, and the GLP-1 receptor has been found in significant amounts in the lung, it could  
71 be hypothesized that the treatment with liraglutide (a GL-1 agonist) will improve this reduced pulmonary  
72 function

73  
74 **1.2. Aim and specific objectives:** The general aim of this project is to evaluate the effect of short (5-  
75 weeks) incretin-based therapy (liraglutide 1.8 mg once daily) compared to placebo on pulmonary function  
76 in type 2 diabetic patients. Our hypothesis is that liraglutide may ameliorate lung function independently of  
77 weight reduction.

78  
79 The specific objectives are the following:

80  
81 **a.-** To identify changes in the parameters related to respiratory function of 5-week liraglutide 1.8  
82 mg once daily treatment compared to placebo in type 2 diabetic patients. For this purpose  
83 pulmonary volumes, expiratory flows, diffusion lung capacity for carbon monoxide, and exercise  
84 tolerance will be assessed.

85  
86 **b.-** To study the effect on respiratory parameters during sleep of 5-week liraglutide 1.8 mg once  
87 daily treatment compared to placebo in type 2 diabetic patients. For this purpose a previously  
88 validated non-attended respiratory polygraphy will be performed, and the apnea-hypopnea index  
89 (AHI) and the cumulative percentage of time spent with oxygen saturations below 90% (CT90)  
90 will be evaluated.

91  
92 **c.-** To determine whether the mechanism involved in the expected beneficial effect of liraglutide  
93 treatment on lung function could be attributed to their action on surfactant production. For this  
94 purpose changes from basal in serum levels of surfactant A protein, the major surfactant-  
95 associated protein, will be evaluated.

96  
97 **d.-** To establish the effect of 5-week liraglutide 1.8 mg once daily treatment compared to placebo  
98 in type 2 diabetic patients on lung inflammation. For this purpose inflammation markers in  
99 exhaled breath concentrate (nitric oxide, pH, and concentration of nitrites, nitrates and  
100 isoprostane) will be measured.

101

102 **1.3. Study design:** This is a double-blind, randomized, crossover, placebo-controlled study, with two  
103 parallel groups (liraglutide once daily sc vs. placebo sc/once daily) of 18-weeks.

104

105 **1.4. Study periods:** (1) selection, (2) active treatment (7 weeks, 5 of them at 1.8 mg once daily), (3)  
106 wash-out (4 weeks), and (4) alternative treatment (7 weeks).

107

108 **1.5. Sample size:** We have estimated that a number of 60 patients at the end of the study will be  
109 sufficient to test our hypothesis. However, taking into account a drop-out ratio of 20%, the final number of  
110 patients that will be included in the study will be 76 (see details in point 9)

111

112 **1.6. Population to be examined:** Type 2 diabetic patients, treated with metformin ( $\pm$  sulfonylurea) at a  
113 stable dose for at least the previous 3 months, with haemoglobin A1c between 7.0 and 10.0%, BMI  $\geq$  30  
114 Kg/m<sup>2</sup>, and no known lung disease.

115

116 **1.7. Participating institutions:** 5 reference Spanish hospitals.

117

118

## 119 **2. INTRODUCTION**

120

### 121 **2.1. Why this work is important?**

122

123 There is growing evidence to suggest an association between type 2 diabetes and impaired pulmonary  
124 function. In this regard, several cross-sectional studies have appeared showing decreased indices of  
125 forced expiration, lung volume and diffusion capacity as the main lung dysfunctions detected in type 2  
126 diabetic populations (*Barrett-Connor et al. Diabetes Care 1996; Davis et al. Diabetes Res Clin Pract*  
127 *2000; Yeh et al. Diabetes Care 2008*). In fact, diabetes is frequently co-morbid with chronic obstructive  
128 pulmonary disease (*Mannino et al. Eur Respir J 2008*), and data from the Atherosclerosis Risk in  
129 Communities Study showed a faster pulmonary function decline in type 2 diabetic patients than in other  
130 participants (*Yeh et al. Diabetes Care 2008*). This is important because the reduction of FEV1 has been  
131 demonstrated an independent cause of mortality in diabetic patients (*Davis et al. Diabetes Care 2004*).

132

133 Interestingly, lung function measures start to decrease several years before the diagnosis of diabetes  
134 (*Davis et al. Diabetes Care 2004*). In this regard we have found that insulin resistance is an independent  
135 determinant of pulmonary function in non-diabetic morbidly obese women (*Lecube et al. Diabetes Metab*  
136 *Res Rev 2010*). In addition, our results suggest that the metabolic pathways related to insulin resistance  
137 are crucial in initiating lung abnormalities in type 2 diabetic patients.

138

139 The reasons for the association between respiratory disease and diabetes are unclear. However, the  
140 relationship between type 2 diabetes and muscle strength, the impairment in lung elastic properties, and  
141 the presence of a low-grade chronic inflammation state are involved. In supporting these findings,  
142 thickening of the alveolar epithelia and pulmonary capillary basal lamina, fibrosis, centrilobular  
143 emphysema, and pulmonary microangiopathy have been detected in autopsies of diabetic patients  
144 (*Nicolaie et al. Rom J Intern Med 2003*). In addition, defects in the bronchiolar surfactant layer, which is  
145 involved in maintaining airway stability and diameter, may also be considered a contributing factor to the  
146 impairment of airway calibre regulation in diabetic patients. When the alveolocapillary barrier is damaged,  
147 surfactant proteins leak into the bloodstream. A recent population-based random sample study has  
148 described how increased circulating levels of surfactant protein A, the major surfactant-associated  
149 protein, were associated with altered glucose tolerance and insulin resistance (*Fernández-Real et al.*  
150 *Diabetes Care 2008*). Therefore, surfactant defects in diabetic individuals may also lead to an increase in  
151 airway resistance and to a reduction in ventilatory patterns as observed in our studies. In addition, as  
152 experimental studies have shown that glucagon-like peptide 1 plays a role in the stimulation of surfactant  
153 production (*Benito et al. Endocrinology 1998; Vara et al. Am J Respir Crit Care Med 2001; Ahrén et al.*  
154 *Horm Metab Res 2004*), its underlying deficit in type 2 diabetes could also enhance the airway resistance  
155 observed in these patients. However, the beneficial effects on pulmonary function using incretin-based  
156 therapies remain to be elucidated.

157

## 158 **2.2. What work has already been done?**

159

160 Our group has recently shown that type 2 diabetes is an independent risk factor for severe nocturnal  
161 hypoxaemia in obese patients (*Lecube et al. PloS One 2009*). In addition, we have provided, for the first  
162 time, evidence that both insulin resistance and type 2 diabetes are risk factors for respiratory function  
163 impairment in morbidly obese women (*Lecube et al. Diabetologia 2010; Lecube et al. Diabetes Metab*  
164 *Res Rev 2010*). The two main abnormalities detected were an obstructive ventilatory pattern and an  
165 increase in residual volume. As a 10% decrease in forced expiratory volume in 1 s (FEV1) has been  
166 associated with a 12% increase in all-cause mortality in diabetic patients (*Davis et al. Diabetes Care*  
167 *2004*), our results have serious implications for patients suffering from obesity and diabetes. Notably, we  
168 have also found a relationship between the degree of blood glucose control (fasting glucose and HbA<sub>1c</sub>)  
169 and the impairment of pulmonary function tests. In addition, fasting glucose and HbA<sub>1c</sub> contributed  
170 independently to lung volumes in multiple linear regression analysis. This finding strongly suggests that  
171 metabolic pathways related to hyperglycaemia are the main factor accounting for this impairment and  
172 points to the lung as a new target of long-term diabetic complications. Finally, we have recently provided  
173 first evidence that circulating levels of soluble TNF- $\alpha$  receptors type 1 (sTNF-R1) are related to reduced  
174 lung volumes and airflow limitation in morbidly obese patients, being the main abnormalities a significant  
175 negative correlation between sTNF-R1 and both FEV1 and forced vital capacity (FVC), as well as with the

176 maximum midexpiratory flow (*Lecube et al. Cytokine 2011*). This finding strongly suggests that  
177 inflammatory pathways related to obesity are involved in this impairment and provide a mechanistic  
178 support to previous studies showing an inverse relationship between FEV1 and anthropometric  
179 parameters.

180  
181

### 182 **3. STUDY HYPOTHESIS**

183

184 Our hypothesis is that treatment with an incretin mimetic such as liraglutide may ameliorate lung function  
185 parameters in type 2 diabetics patients, independently of weight reduction. This hypothesis is based on  
186 the following factors:

187

188 **a.-** There is growing evidence to suggest an association between type 2 diabetes and impaired  
189 pulmonary function.

190

191 **b.-** In patients with type 2 diabetes, the incretin effect is severely reduced or absent, contributing  
192 to the reduced lung function parameters observed in type 2 diabetic patients.

193

194 **c.-** GLP-1 stimulates surfactant production in “in vitro” studies and, in consequence, the increase  
195 in surfactant production induced by liraglutide could be the main factor involved in the respiratory  
196 improvement.

197

198

### 199 **4. PRIMARY OBJECTIVE AND SECONDARY OBJECTIVES**

200

201 The main objectives of the project are the following:

202

203 **4.1. Primary objective:** To assess the effect of 5-week liraglutide 1.8 mg once daily treatment compared  
204 to placebo in type 2 diabetic patients on measurements of respiratory function.

205

206 **4.2. Secondary objectives:** To evaluate the effect of 5-week liraglutide 1.8 mg once daily treatment  
207 compared to placebo in type 2 diabetic patients on:

- 208 - the effect on respiratory parameters during sleep,
- 209 - changes from basal in serum levels of surfactant A protein, and
- 210 - inflammation markers in the exhaled air.

211

212

213

214

## 215 **5. RESEARCH DESIGN**

216

### 217 **5.1 Setting**

218 The clinical trial will be performed in 5 reference Spanish hospitals and will involve close collaboration  
219 between recognized endocrinologists and pneumologists.

220

221 These are the centres that will participate in this clinical trial and their main investigators, all from the  
222 Endocrinology and Nutrition Department:

223 . Hospital Universitari Arnau de Vilanova, Lleida, Spain (Dr. Albert Lecube, Ph.D., M.D.)

224 . Hospital Universitari Germans Trias i Pujol, Badalona, Spain (Dr. Didac Mauricio, Ph.D., M.D.)

225 . Hospital Universitari Vall d'Hebron, Barcelona, Spain (Dr. Rafael Simó, Ph.D., M.D.)

226 . Clínica Universitaria de Pamplona, Pamplona, Spain (Dr. Javier Salvador, Ph.D., M.D.)

227 . Hospital Universitario Virgen de la Victoria de Málaga, Málaga, Spain (Dr. Francisco Tinahones,  
228 Ph.D., M.D.)

229 **.Back up centre:** Hospital Universitario Virgen del Rocio de Sevilla, Sevilla, Spain (Dr. Pedro  
230 Pablo García Luna, Ph.D., M.D.)

231

### 232 **5.2 Ethic considerations**

233 The trial has been registered in the European Clinical Trial Database (EudraCT number: 2010-023518-  
234 29). Once accepted, the last version of the protocol will be newly submitted to the Investigational Ethics  
235 Committees of all the participating clinical centres. Investigators believe that no major ethical implications  
236 have to be considered in this clinical trial. However, patients diagnosed of severe sleep disorder breathing  
237 will be evaluated with the Pneumology Department in each center. Patients in whom treatment with  
238 continuous positive airway pressure should be considered mandatory will be withdrawn from the clinical  
239 trial. In addition, the trial will follow the Helsinki Declaration as well as the Good Clinical Practice  
240 Guidelines.

241

### 242 **5.3 Study design**

243

244 **5.3.1. Study type:** This is a phase IV double-blind, randomized, crossover, placebo-controlled study, with  
245 two parallel groups (1.8 mg of liraglutide once daily sc vs placebo once daily sc) for 14 weeks.

246

247 **5.3.2. The consent process:** The consent process will begin when a potential research patient is initially  
248 contacted. Prior to any study-related activity, including screening procedures, potential candidates to be  
249 recruited will receive extensive, both oral and written, information about the study, including the risk

250 associated with the study procedures and study-drug. In addition to signing the consent, the patient will  
251 enter the date of the signature on the consent document, to permit verification that consent was actually  
252 obtained before the patient began participation in the study. A copy of the consent document will be  
253 provided to the patient and the original signed consent document will be retained in the study records.

254

255 **5.3.3. Screening phase (population to be examined):** The study will include type 2 diabetic patients,  
256 treated with metformin ( $\pm$  sulfonylurea) at a stable dose for at least the previous 3 months, with HbA1c  
257 between 7.0 and 10.0%, BMI  $\geq$  30 Kg/m<sup>2</sup>, and no known lung disease. The patients included in the study  
258 will be recruited from the Endocrinology Departments of all the participating centers and will be followed-  
259 up by the endocrinologists and pneumologists in the investigating teams. The main exclusion criteria  
260 include type 1 diabetes mellitus, treatment with insulin, dipeptidyl peptidase-4 inhibitors and/or  
261 pioglitazone, history of smoking habit, chronic respiratory disease, asthma, cardiovascular disease,  
262 hearth failure, stroke, and/or chest wall disease.

263

264 **5.3.4. Inclusion phase:** After informed consent, the recruited patients will be transferred to Pneumology  
265 Departments where the following examinations will be performed: expiratory flows, pulmonary volumes, 6-  
266 minute walking test, non-attended respiratory polygraphy with oxygen saturation monitoring, inflammatory  
267 markers in exhaled air, and serum surfactant A protein measurement. After these evaluations patients will  
268 be randomly allocated in a 1:1 ratio to one of the two treatment groups.

269

#### 270 **5.3.5. Treatment groups:**

271 **Group A:** (i) 7-week subcutaneous liraglutide treatment once daily, (ii) a 4-week wash-out period,  
272 and (iii) 7-week subcutaneous placebo once daily.

273 **Group B:** (i) 7-week subcutaneous placebo once daily, (ii) a 4-week wash-out period, and (iii) 7-  
274 week subcutaneous liraglutide treatment once daily.

275

276 **5.3.6. Titration schedule:** in order to minimize the loss of weight associated with incretin therapies and to  
277 achieve the optimal dose of liraglutide, active treatment will start at 0.6 mg once daily during the first  
278 week, 1.2 mg once daily during the second week, and 5 weeks at 1.8 mg once daily. Whether a dose of  
279 liraglutide is not well tolerated, it will be reduced to the minimum tolerated dose. Placebo arm will perform  
280 the same scalation that active treated patients.

281

282 **5.3.7. Treatment formulation:** both liraglutide and placebo will be administered subcutaneous using a 3  
283 mL pre-filled pen injector. Regarding liraglutide, one ml of solution will contain 6 mg of the product, so one  
284 pre-filled pen injector will contain 18 mg of liraglutide in 3 mL.

285

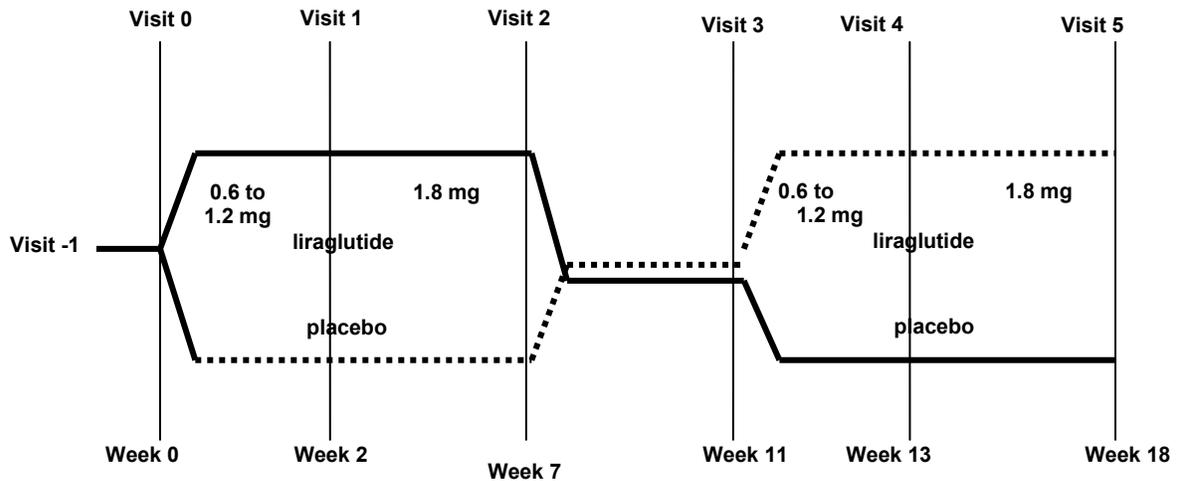
286 **5.3.8. Sample size:** we have estimated that a number of 76 screened patients at the end of the study will  
287 be able to demonstrate our hypothesis (see below *sample size calculation*).

288  
289 **5.3.9. Baseline treatment:** all type 2 diabetic patients who gave their written informed consent will  
290 continue with metformine ( $\pm$  sulfonyleurea) at the same doses.

291  
292 **5.3.10. Chronogram:** see next page

293  
294 **5.3.11. Visit schedule:** see next page

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	Screening	Basal	Week 2	Week 7	Week 11	Week 13	Week 18
Visit number	-1	0	1	2	3	4	5
Informed Consent	X	-	-	-	-	-	-
Inclusion/exclusion criteria	X	X	X	X	X	X	X
Randomization	-	X	-	-	-	-	-
Physical examination	X	X	X	X	X	X	X
Liraglutide/placebo dispensed	-	X	X	-	X	X	-
Drug accountability	-	-	X	X	-	X	X
Concomitant Medication	-	X	X	X	X	X	X
Expiratory flows	-	X	-	X	X	-	X
Pulmonary volumes	-	X	-	X	X	-	X
6-minute walking test	-	X	-	X	X	-	X
Respiratory polygraphy	-	X	-	X	X	-	X
Nocturnal Oxygen Saturation	-	X	-	X	X	-	X
Serum Surfactant A Protein	-	X	X	X	X	X	X
Inflamm. markers in exhaled air	-	X	X	X	X	X	X
Laboratory	-	X	X	X	X	X	X
Urine pregnancy test (if applicable)	X	-	-	-	X	-	-
Active collection of adverse events	X	X	X	X	X	X	X

308  
309

## 310 **6. INCLUSION/EXCLUSION CRITERIA**

311

### 312 **6.1. Inclusion criteria:**

- 313 - Type 2 diabetic patients ( $\geq 18$  years of age) with more than 5 years of evolution of the disease,
- 314 - treatment with **metformin** ( $\pm$  sulfonylurea) at a stable dose for at least the previous 3 months,
- 315 - HbA1c  $\geq 7.0$  and  $\leq 10.0\%$ ,
- 316 - body mass index  $\geq 30$  Kg/m<sup>2</sup>,
- 317 - no known lung disease,
- 318 - baseline reduction in FEV1 equal or higher than 10% in the percentage predicted value,
- 319 - provision of an informed consent form signed and personally dated by the patient.

320

### 321 **6.2. Exclusion criteria:**

- 322 - type 1 diabetes,
- 323 - treatment with insulin, dipeptidyl peptidase-4 inhibitors, and/or pioglitazone,
- 324 - history of smoking habit,
- 325 - chronic pulmonary obstructive disease,
- 326 - sleep-breathing disorders that require CPAP (continuous positive airway pressure) therapy,
- 327 - asthma,
- 328 - previous bariatric surgery,
- 329 - cardiovascular disease, heart failure, and/or stroke,

- 330 - chest wall diseases,
- 331 - serum creatinine > 1.7 mg/dl,
- 332 - abnormal *liver function test* (ALT/AST levels greater than twice the upper limit of normal),
- 333 - *history of acute or chronic pancreatitis*,
- 334 - *history or family history of medullary carcinoma or the thyroid or MEN 2*,
- 335 - female of child-bearing potential who is pregnant, breast-feeding or intends to become pregnant
- 336 - females of childbearing potential who are not using adequate contraceptive methods (as
- 337 required by local law or practice).

338

339 **6.3. Concomitant therapies:** the next concomitant therapies will be included in the exclusion criteria  
340 because of their effects on pulmonary function or glucose metabolism. Patients enrolled in the study who  
341 initiate any of these concomitant treatments will be also excluded from the study:

- 342 - insulin
- 343 - antidiabetic agents others than metformin or sulfonylureas
- 344 - inhaled bronchodilator drugs or teophillin
- 345 - CPAP (continuous positive airway pressure) therapy
- 346 - oral corticosteroids.

347

348

## 349 **7. PRIMARY AND SECONDARY MEASURES**

350

351 All these measurements will be performed through a forced spirometry (DATOSPIR touch, Sibel S.A.)  
352 and a non-attended ambulatory respiratory polygraphy ("Sleep&Go", Sibel S.A.) devices. Blood  
353 specimens will be recollected, processed and stored in each centre, and evaluations will be evaluated in  
354 a central laboratory.

355

356 **7.1. Primary efficacy measure:** Forced expiratory volume in 1 s (FEV1). We have selected this variable  
357 because in our previous studies the main abnormality detected in type 2 diabetic patients was a decrease  
358 in FEV 1 (*Lecube et al. Diabetologia 2010; Lecube et al. Diabetes Metab Res Rev 2010*). In this regard, it  
359 should be emphasized that a 10% decrease in FEV1 has been associated with a 12% increase in all  
360 cause mortality in diabetic patients (*Davis et al. Diabetes Care 2004*).

361

### 362 **7.2. Secondary efficacy measures:**

- 363 - Other parameters drawn from a forced spirometry:
  - 364 - Forced vital capacity (FVC)
  - 365 - Maximum mid-expiratory flow (FEF<sub>25-75</sub>)
  - 366 - FEV1 to FVC ratio

- 367 - Parameters from static pulmonary volume measurements:
- 368 - Residual volume (RV)
- 369 - Total lung capacity (TLC)
- 370 - Residual functional capacity (RFC)
- 371 - Transfer factor of the lungs for carbon monoxide (TLCO)
- 372 - Data from the six-minute walking test
- 373 - Parameters from a previously validated non-attended respiratory polygraphy
- 374 - apnea-hypopnea index (AHI)
- 375 - cumulative percentage of time spent with oxygen saturations below 90% (CT90)
- 376 - Changes from basal in serum levels of surfactant A protein,
- 377 - Data from the study of inflammation markers in the exhaled breath concentrate: nitric oxide, pH,
- 378 and concentration of nitrites, nitrates and isoprostane

379

### 380 **7.3. Other variables to be evaluated:**

- 381 - Anthropometric data: weight, height, waist circumference, and neck circumference
- 382 - Daytime sleepiness through the *Epworth Sleepiness Test*
- 383 - Fasting blood glucose, HbA1c, fructosamine, fasting insulin, and insulin resistance measured by
- 384 HOMA-IR.

385

386

## 387 **8. SAMPLE SIZE CALCULATION**

388

389 The inclusion of 50 patients will achieve a 80% of statistical power ( $\beta=0.2$ ) to detect a significant increase,  
390 due to the treatment, in the FEV1 levels of at least 20%, assuming a baseline expected values of FEV1  
391 for untreated patients of  $88.4 \pm 19.7$  [as previously reported by Lecube et al (Diabetologia 2010)] and using  
392 and ANOVA test to specifically assess the effect in a cross-over design, setting the threshold for statistical  
393 significance at 5% ( $\alpha=0.05$ , one sided). For this computation, the within mean square error of the ANOVA  
394 test for repeated measurements was calculated as  $\sqrt{2 \times (19.7^2)} = 27.86$ . Sample size will be increased in  
395 10 patients according to an expected drop-out rate of 20%. Hence, a total of 60 patients will be included  
396 in the cross-over randomized trial. In addition, in order to avoid unexpected changes in the final sample  
397 size, we will recruit only patients with a baseline decrease in FEV1 equal or higher than 10% in the  
398 percentage predicted value.

399 However, data regarding factors to be analysed in the present project (for example, levels of  
400 serum surfactant A protein and parameters measured in the exhaled air) are lacking and, therefore, to  
401 give ourselves a safety margin we have increased the sample size. Hence, we hope that the number of  
402 76 patients will be sufficient to demonstrate our hypothesis.

403

404

405 **9. STATISTICAL ANALYSIS**

406

407 First, a homogeneity analysis will be undergone to compare patients in both groups (A and B) with  
408 regards to basal clinical and anthropometric variables. Mean (and standard deviation) and frequency (and  
409 percentage) will be used to describe quantitative and qualitative variables respectively, using Mann-  
410 Whitney test for categorical variables to assess the differences between groups. Second, the effect of the  
411 treatment will be evaluated using an ANOVA test with repeated measures, also adjusting for the potential  
412 carry-over effect and those potential confounders detected in the homogeneity analysis, using for this  
413 purposed mixed linear models. Third, similar analysis will be performed to assess the effect of the  
414 treatment on the secondary outcomes. All analysis will be obtained using R statistical package and  
415 threshold for statistical significance will be set at 0.05.

416

417 **10. DATA MANAGEMENT**

418

419 Data management is always the responsibility of the primary investigator. Data management will be  
420 delegated under an agreement of transfer of responsibilities to an external Contract Research  
421 Organisation (CRO). Appropriate measures, including encryption of data files containing person  
422 identifiable data, will be used to ensure confidentiality of patients data, when they are transmitted over  
423 open networks.

424

425 An electronic Case Report Form (eCRF) that accurately represents the protocol of the clinical trial will be  
426 designed and developed by the CRO to collect the specific data.

427

428 The primary investigators of each center will fulfill the sponsor responsibilities detailed in the Spanish  
429 legislation as well as in the Good Clinical Practices of the ICH. To prevent patient confidentiality each  
430 patient will be identified with a unique code, this will be the only identifiable information that will be  
431 recorded in the eCRF. No patient initials will be recorded.

432

433 Monitors designated by the CRO will be responsible for contacting and visiting the investigators for the  
434 purpose of inspecting the facilities and, upon request, inspecting the various records of the clinical study  
435 (eg, case report forms and other pertinent data) to provide that patient confidentiality is respected. The  
436 monitor will also be responsible for verifying the eCRF at regular intervals throughout the study to verify  
437 adherence to the protocol: completeness, accuracy, and consistency of the data; and adherence to local  
438 regulations on the conduct of clinical research.

439

440

441 **11. TIMELINES**

442

443 **Overall study duration:** 24 months

444 **Treatment duration:** 14 weeks

445 **Enrollment period:** 18 months

446 **Anticipated date of first patient visit:** January 18, 2016 (or as soon as contract will be signed)

447 **Anticipated date that first patient enters treatment:** January 25, 2016

448 **Anticipated date that last patient enters trial:** September 26, 2016

449 **Anticipated date that last patient enters treatment:** October 3, 2016

450 **Anticipated date of the final last patient visit:** January 16, 2017

451 **Anticipated date of first manuscript submitted:** May 1, 2017

452 **12. TRIAL SUPPLIES**

453

454 Trial supplies comprise trial products and auxiliary supplies:

455

456 **12.1 Trial products:** Trial products comprise investigational trial products. The following trial products for  
457 s.c. injection will be provided by Novo Nordisk:

458 . liraglutide 6 mg/mL, 3 mL pre-filled pen-injector

459 . liraglutide placebo 6 mg/mL, 3 mL pre-filled pen-injector.

460

461 Patients will be instructed **when randomised and ready to start the trial** in administration of s.c. injection of  
462 trial product in order to ensure patient's willingness and ability to self-inject.

463

464 Liraglutide, both active drug and placebo will be **visually identical**.

465

466 Novo Nordisk will supply trial product and DFU to the primary investigator located at Hospital Univesitari  
467 Arnau de Vilanova de Lleida (Spain). Therefore, primary investigator will ensure to supply with sufficient  
468 trial products to the four other sites.

469

470 **12.2. Auxiliary supplies:** Novo Nordisk will supply directions for use devices, whereas needles for pre-  
471 filled pen systems will be provided by the primary sponsor.

472

473 **12.3 Labelling:** Labelling of the investigational medicinal products will be in accordance with local law  
474 and trial requirements.

475

476 **12.4. Storage:** The investigator from each centre must ensure the availability of proper storage  
477 conditions, and record and evaluate the temperature. The investigator from each centre must inform  
478 Primary Investigator immediately if any trial product has been stored outside defined conditions (eg,  
479 outside temperature range).

480

481 Trial products stored outside the temperature range for more than fifteen minutes are not to be used and  
482 must be stored separately within allowed temperature range until after evaluation of condition. Evaluation  
483 will be performed by Novo Nordisk. Trial products that have been stored improperly must not be  
484 dispensed to any patient before it has been re-evaluated and approved for further use.

485

486 Returned trial products (unused, partly used or used including empty packaging material) must be stored  
487 separately from non-allocated trial products.

488

489 The temperature during storage should be monitored by a calibrated, stationary and continuously  
490 recording system. A temperature log must be kept to document storage within the right temperature  
491 interval and storage facilities should be checked frequently.

492

493 **Storage conditions:** Not in use, liraglutide both active drug and placebo must be store in a refrigerator  
494 between 2°C and 8°C, and must not be exposed to excessive heat or direct sunlight

495

496 **In-use conditions:** Liraglutide both active drug and placebo must be stored below 30°C or in a  
497 refrigerator (2°C-8°C), protected from light, not freezed, and used within 1 month.

498

499 **12.5. Drug accountability and destruction:** The trial products will be dispensed to each patient as  
500 required according to treatment group. A total DUN list provided by Novo Nordisk to the primary  
501 investigator will be used to link randomisation codes to treatments. A blinded randomisation list will be  
502 created by our own statisticians. Different parts of the code list will be allocated to the other four  
503 participant trial sites, and when a patient will be randomised, a randomisation code will be assigned from  
504 this list. The correct DUN must be dispensed to the patient.

505

506 The investigator from each centre is responsible for ensuring that:

- 507 . trial product is not dispensed to any person not included in the trial,
- 508 . drug accountability,
- 509 . patients are instructed to return all used, partly used and unused trial product including empty  
510 packaging material at each dispensing visit and at End of Treatment visit,
- 511 . all returned trial products is kept and storage separately from non-allocated trial products.

512

513 Destruction of trial products will be done according to local law after accountability is finalised at site and  
514 reconciled by monitor. Destruction of trial products must be documented.

515

516

### 517 **13. RANDOMISATION PROCEDURE AND BREAKING OF BLINDED CODES**

518

519 The trial is a double-blind trial. A randomization session will be carried out for all patients by using the  
520 blinded randomization list. At the randomization visit patients meeting all inclusion/exclusion criteria will  
521 be randomized to one of two parallel treatments groups:

522 **Group A:** (i) 7-week subcutaneous liraglutide treatment once daily, (ii) a 4-week wash-out period,  
523 and (iii) 7-week subcutaneous placebo once daily.

524 **Group B:** (i) 7-week subcutaneous placebo once daily, (ii) a 4-week wash-out period, and (iii) 7-  
525 week subcutaneous liraglutide treatment once daily.

526

527 **13.1 Breaking of blinded codes:** If the site needs to break the treatment code, the sponsor should, if  
528 possible, be contacted before the code is broken.

529

530 The code for a particular patient may be broken in a medical emergency if knowing the actual treatment  
531 would influence the treatment of the patient. The reason for code break should be documented in the  
532 medical record. Whenever a code is broken the person breaking the code must print the Code Break  
533 Confirmation Notification, and sign and date the document.

534

535 If the code has been broken, the patient should be discontinued from the trial product but be asked to  
536 continue in the trial.

537

538

## 539 **14. ASSESSMENT OF SAFETY**

540

### 541 **14.1. Definitions**

542 **An Adverse Event (AE) is** any untoward medical occurrence in a patient or clinical investigation  
543 participants administered a medicinal product, which does not necessarily have to have a causal  
544 relationship with this treatment (the study medication).

545 **An Adverse Reaction (AR) is** all untoward and unintended responses to a medicinal product related  
546 to any dose. The phrase "responses to a medicinal product" means that a causal relationship between  
547 a study medication and an AE is at least a reasonable possibility, i.e., the relationship cannot be ruled  
548 out.

549 **A Serious Adverse Event (SAE) is an experience that at any dose results in any of the following:** (1)  
550 **Death;** (2) **Life-threatening experience;** (3) **Inpatient hospitalization or prolongation of existing**  
551 **hospitalization;** (4) **A persistent or significant disability/incapacity;** or (5) **A congenital anomaly/birth**  
552 **defect. Important medical events that may not result in death, be life-threatening, or require**  
553 **hospitalization may be considered an SAE when, based upon appropriate medical judgement, they**  
554 **may jeopardise the patient or require medical or surgical intervention to prevent one of the outcomes**  
555 **listed in this definition. Suspicion of transmission of infectious agents must be always be considered**  
556 **an SAE. Note: the term "life-threatening" in the previous definition of SAE refers to an event in which**  
557 **the patient was at risk of death at the time of the event; it does not refer to an event which**  
558 **hypothetically might have caused death if it was more severe.**

559 **A Serious Adverse Reaction (SAR) is** an adverse event (expected or unexpected) that is both  
560 serious and, in the opinion of the reporting investigator, believed with reasonable probability to be due  
561 to one of the study treatments, based on the information provided.

562 **A Suspected Unexpected Serious Adverse Reaction (SUSAR) is:**

563 A serious adverse reaction, the nature or severity of which is not consistent with the applicable product  
564 information (e.g. Investigator's Brochure for an unapproved investigational product or summary of  
565 product characteristics for an approved product).

566

567 **14.2 Causality:** The relationship of each adverse event to the trial medication must be determined by a  
568 medically qualified individual according to the following definitions:

569 **Related:** The adverse event follows a reasonable temporal sequence from trial medication administration.  
570 It cannot reasonably be attributed to any other cause.

571 **Not Related:** The adverse event is probably produced by the participant's clinical state or by other modes  
572 of therapy administered to the participant.

573

574 **14.3. Procedures for recording adverse events:** All AEs occurring during the study observed by the  
575 investigator or reported by the participant, whether or not attributed to study medication, will be recorded  
576 on the CRF. The following information will be recorded: study name, patient identification (e.g., patient  
577 number, sex, and age), trial drug, description of the event and diagnosis when possible, date of onset and  
578 end date, severity, assessment of relatedness to study medication, other suspect drug or device, action  
579 taken, and reporter. Follow-up information should be provided as necessary. AEs considered related to  
580 the study medication as judged by a medically qualified investigator or the sponsor will be followed until  
581 resolution or the event is considered stable. All related AEs that result in a participant's withdrawal from  
582 the study or are present at the end of the study, should be followed up until a satisfactory resolution  
583 occurs.

584

585 It will be left to the investigator's clinical judgment whether or not an AE is of sufficient severity to require  
586 the participant's removal from treatment. If either of these occurs, the participant must undergo an end of  
587 study assessment and be given appropriate care under medical supervision until symptoms cease or the  
588 condition becomes stable. The relationship of AEs to the study medication will be assessed by a  
589 medically qualified investigator. Any pregnancy occurring during the clinical study and the outcome of the  
590 pregnancy, should be recorded and followed up for congenital abnormality or birth defect.

591

592 **14.4. Reporting procedures for serious adverse events:** All SAEs must be reported to the Sponsor or  
593 designated organization within one working day of discovery or notification of the event. The Sponsor or  
594 designated organization will perform an initial check of the report, request any additional information. All  
595 SAE information must be recorded on an SAE forms and faxed to the Sponsor or designated  
596 organization. Additional information received for a case (follow-up or corrections to the original case)  
597 need to be detailed on a new SAE form and faxed to the Sponsor or designated organization.

598 As a minimum, the investigator should copy Novo Nordisk when expediting SARs or SUSARs,  
599 and should report all SARs related to Novo Nordisk product to the local Novo Nordisk affiliate safety

600 department. The submission to Novo Nordisk must be within day 15 from the investigator's first  
601 knowledge about a valid case.

602

603 **14.5. Pregnancies:** Female patients must be instructed to notify the investigator immediately if they  
604 become pregnant during the trial. The investigator must report any pregnancy in patients who received  
605 Novo Nordisk provided trial product.

606

607 The investigator must follow the pregnancy until the pregnancy outcome and the newborn infant(s) until  
608 the age of 1 month. The investigator must report all information on pregnancy, including AEs in the  
609 patient, the foetus, and newborn infant. All this information must be forwarded to Novo Nordisk.

610

611 When an abnormality is reported in the foetus or newborn infant, information is needed from the male  
612 partner. Informed consent must be obtained prior to this.

613

614 **14.6 Indemnity insurance:** A written assurance indemnity or clinical trial insurance to protect the  
615 institutions from claims of non-negligent harm resulting from the clinical research and to coverage  
616 patients for untoward events will be subscribed by the principal investigator. The amount of  
617 compensations paid should be appropriate to the nature, severity, and persistence of the injury. This  
618 insurance indemnity will be in accordance with Royal decree 223/2004, of 6<sup>th</sup> February 2004, establishing  
619 the requisites regarding clinical trials.

620

## 621 **15. PUBLICATION STRATEGY**

622

623 The diffusion of the results will follow the procedures that we are currently using. Therefore, the results  
624 will first be presented at national and international congresses and oral presentation will be prioritized.  
625 Afterwards, we will submit the papers to top journals (first quartile journals or with impact factor > 5). If a  
626 late break result is obtained this will be sent as a "Rapid Communication" to a relevant journal that allows  
627 this type of publication or it will be sent to a prestigious on-line journal (i.e. PLoS One). Simultaneously, a  
628 parallel diffusion through informative channels (newspapers, radio or TV interviews) will be conducted

629       Novo Nordisk will be informed of any intended publication related to the clinical trial results, and a  
630 period of 4 weeks will be established in order to receive Novo Nordisk comments.

631       The Novo Nordisk involvement in this clinical trial will always be acknowledged in any diffusion  
632 action related with its results.

633

## 634 **16. MAIN REFERENCES**

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679 6.

680

681

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683

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